

# Assimilation of GPS RO Data for Typhoon Prediction

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**FORMOSAT-3/COSMIC Summer Camp and Colloquium in Taiwan  
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## CASE SIMULATIONS WITH RO DATA:

2001- Typhoon Lekima 、

2001- Typhoon Nari 、

2002- Typhoon Nakri 、

2002- Typhoon Sinlaku 、

2003- Dujuan Typhoon (2003/08/31/12-09/02/00)

2004- Cold front-1 (2004/02/06/18)

2004- Cold front-2 (2004/02/07/06)

2004- Meiyu front (2004/05/19/12-22/12)

2004- Conson typhoon (2004/06/07/00-09/00)

2004- Mindulle typhoon (2004/06/29/06-07/02/06)

2004- Aere typhoon (2004/08/21/18-25/12)

2004- Namadol typhoon (2004/12/02-04)

2004- Cold front(2004/12/03 or 06)

2005- Early-March snow event (cold front)\*

# Model Domain and Physics

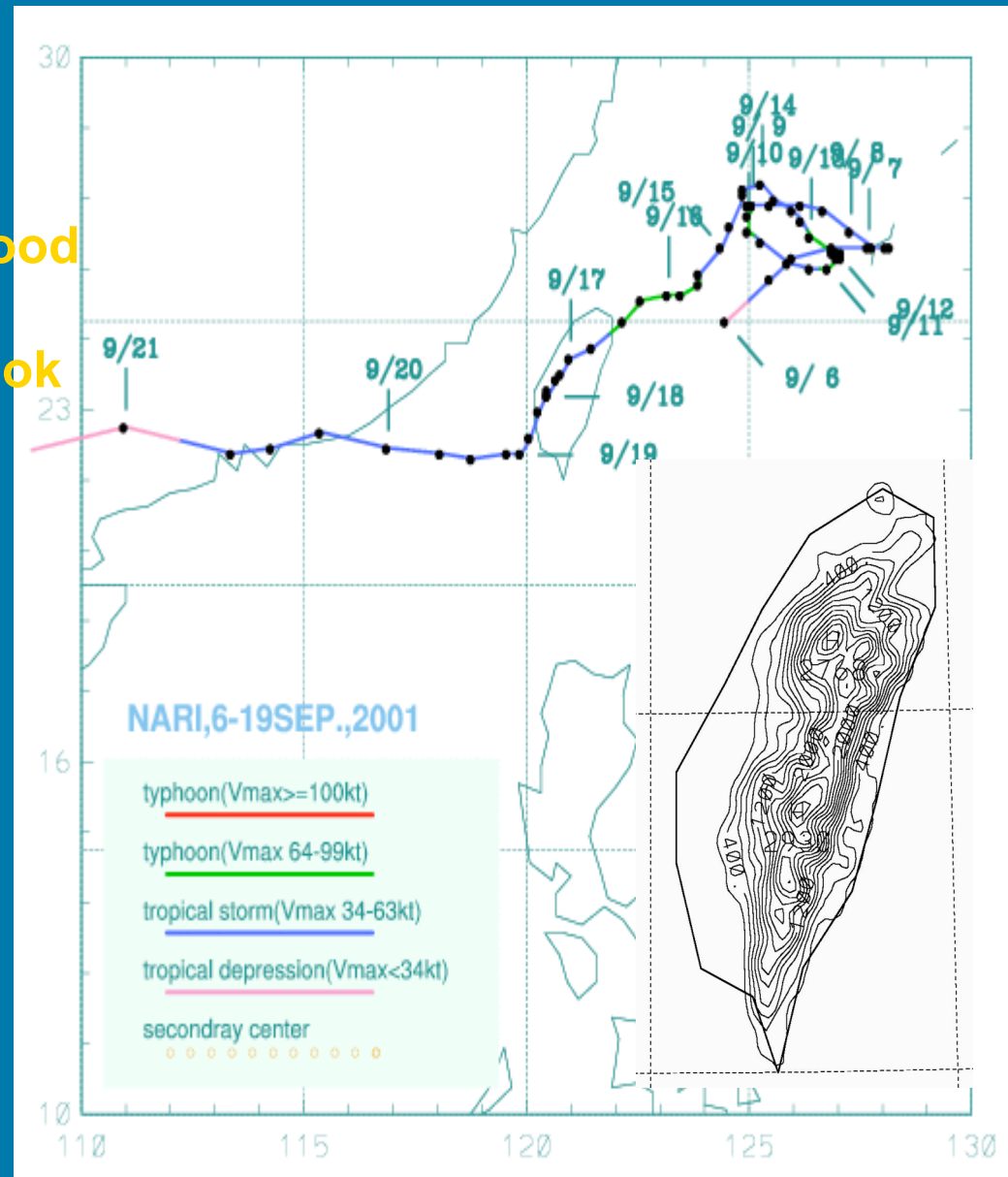
- The model simulations use three nested domains at 45-, 15- and 5-km resolutions.
- All the simulations use MM5 version 3.5 with explicit treatments (Goddard's scheme) for ice/graupel physics in the three domains (1, 2 and 3), Anthes Kuo's scheme and Grell's scheme for cumulus parameterization in domain 1 (largest) and domain 2, respectively, and the Blackadar scheme for PBL parameterization in all the domains.
- 3DVAR was performed for each domain with GPSrf.

# Covariance Matrix- O

- The GPS radio occultation observational covariance matrix is diagonal and thus has assumed no vertical correlations.
- This assumption of vertical un-correlation is certainly not supportive of some existing dependence between observations, but in absence of statistical information on those correlations, the assumption insures that the data information is not underestimated in assimilation.
- The diagonal elements (variances) are prescribed as a profile exponentially decreasing from 3 N at 100 hPa to 10 N at 1000 hPa. The value of 10 N observational error near the surface is consistent with the 3% refractivity difference between CHAMP radio-occultations and ECMWF analysis found at 1000 hPa, as reported by Kuo et al. (2004).

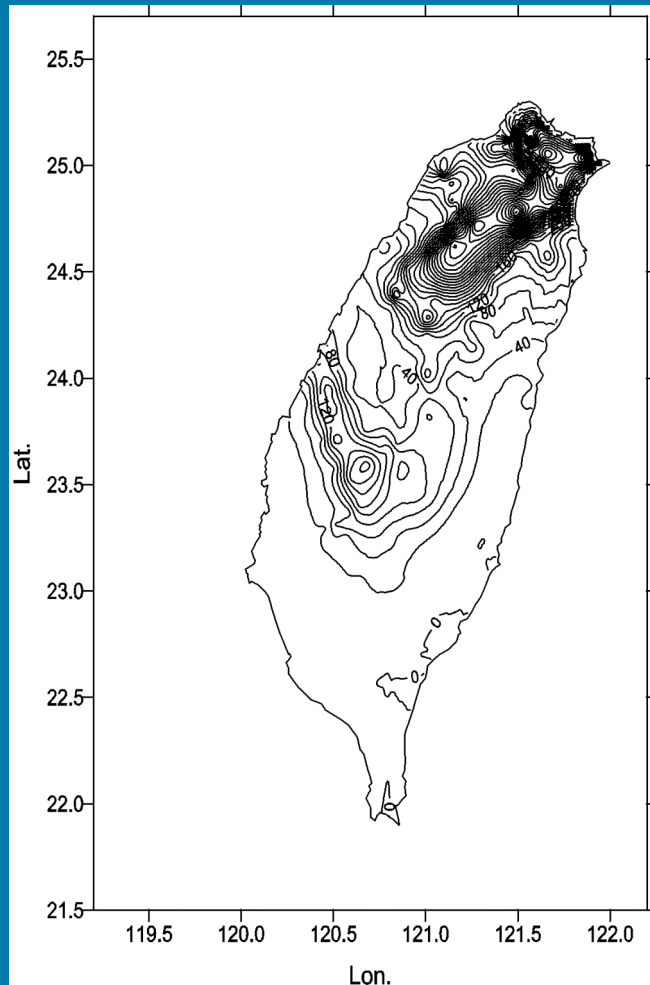
## Nari best track (CWB)

- Such a track was observed once per about thirty years.
- Nari made a record-breaking flood in Taipei, causing shutdown of the subway system which took one month to vacate water and clean the facilities, and three months to resume.
- The high topography (CMR) in Taiwan plays a major role in rainfall distributions.

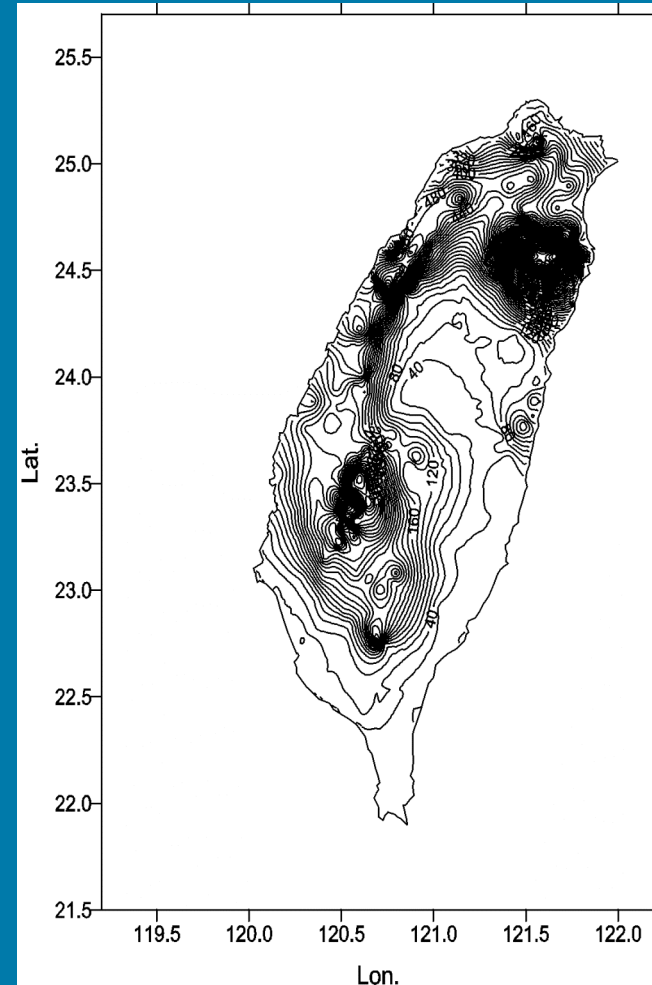


## Observed 24-h accumulated rainfall (mm)

Major rainfalls over northern, south-western slopes and I-Lan.



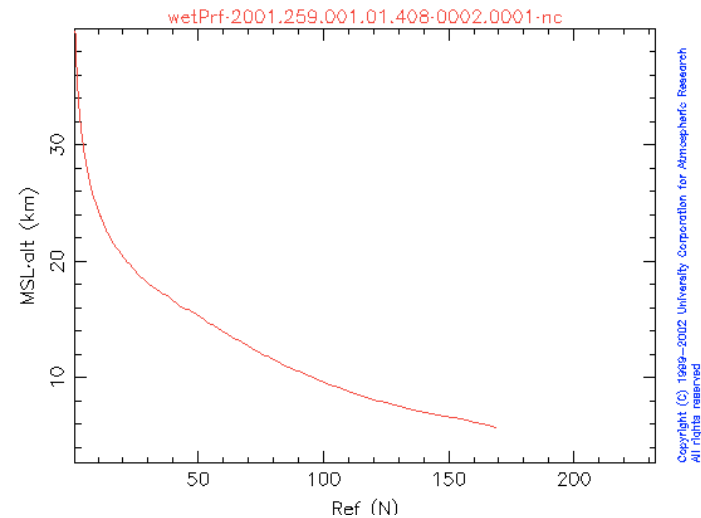
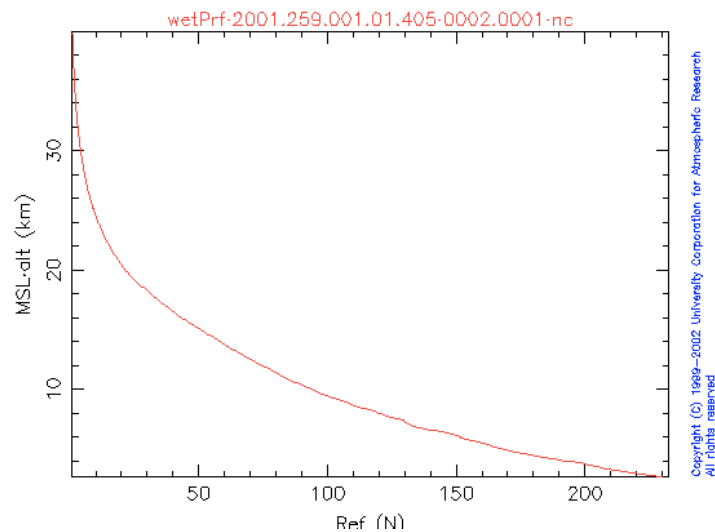
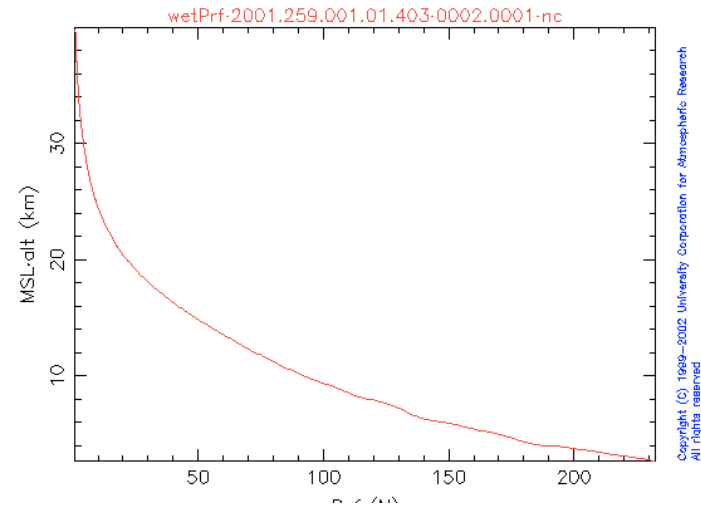
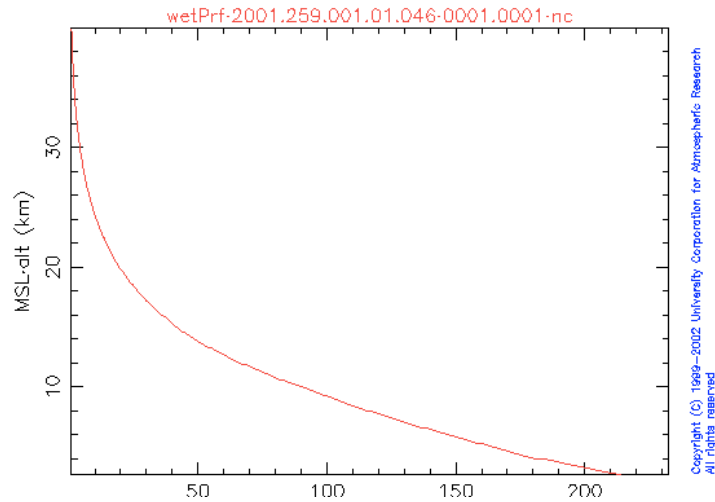
(a) 0000-2400 UTC 16 Sep. 2001  
(max value: 712 mm)



(b) 0000-2400 UTC 17 Sep. 2001  
(max value: 1144 mm)

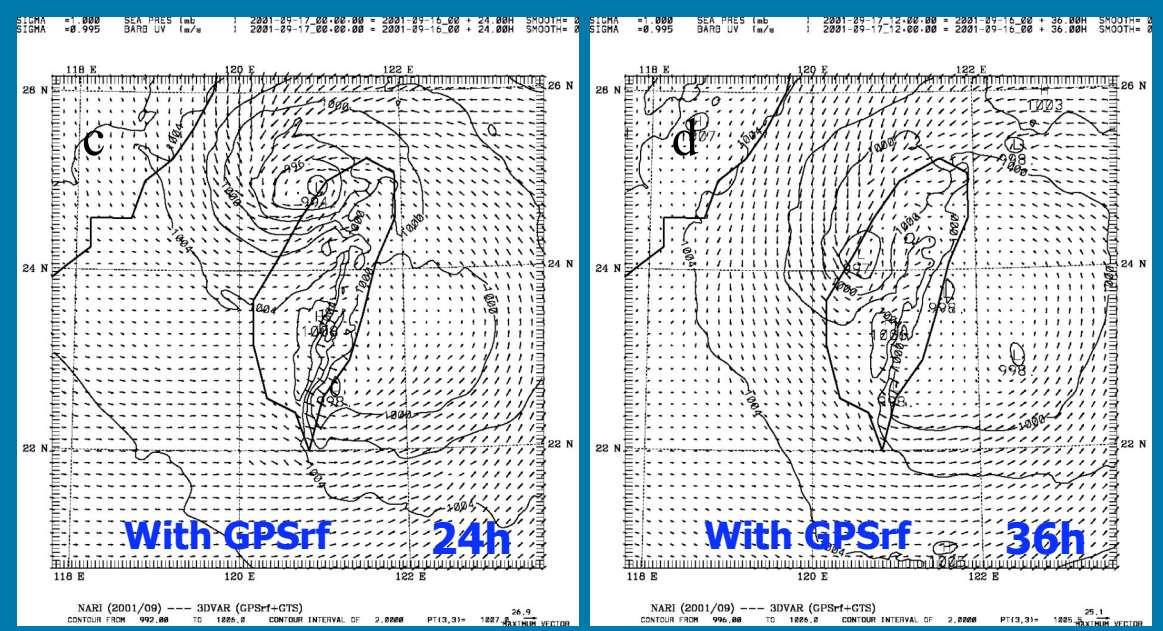
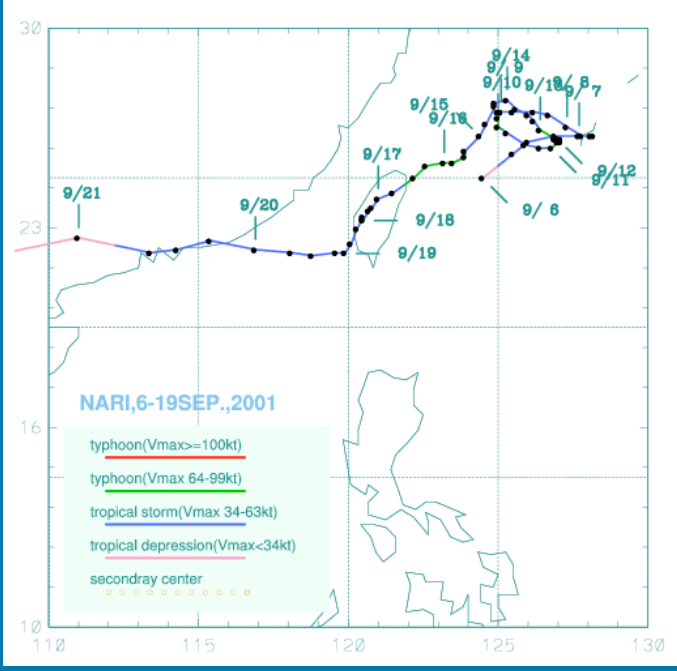
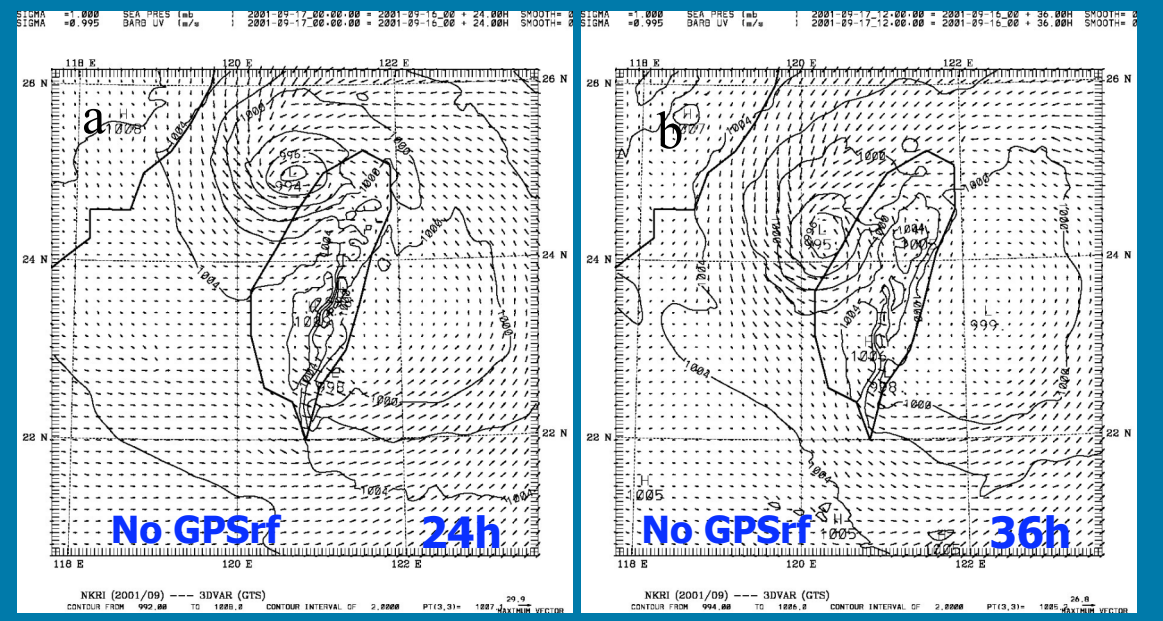
Wetprf.2001.259.001.01.046.0001.0001.nc	01:45am	51.500	154.030
Wetprf.2001.259.001.01.403.0002.0001.nc	02:22am	38.014	140.001
Wetprf.2001.259.001.01.405.0002.0001.nc	02:26am	25.040	136.964
Wetprf.2001.259.001.01.408.0002.0001.nc	02:30am	4.185	136.568

### Domain-1 (45-km Grid)



# 2001/09 Nari Typhoon

MM5 simulated near-surface pressure (mb) and wind ( $\text{ms}^{-1}$ ) at 24h, 36h.



➤ The track is closer to the best track, with GPSrf assimilated.

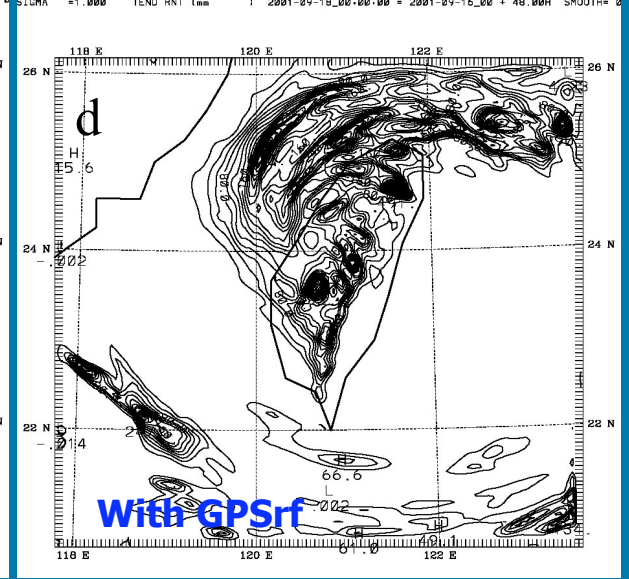
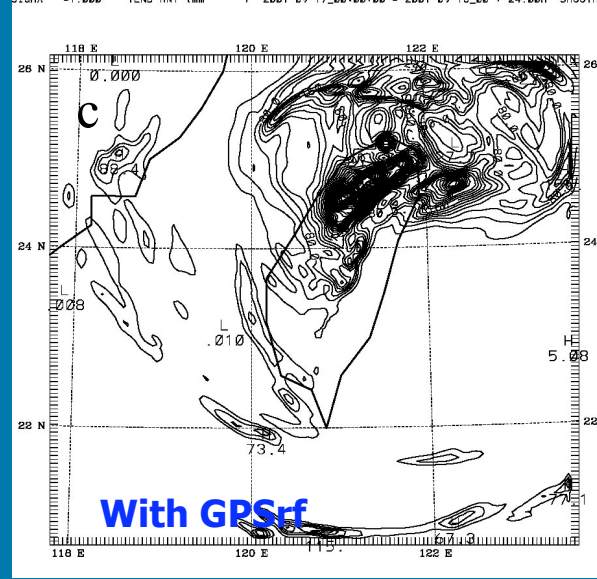
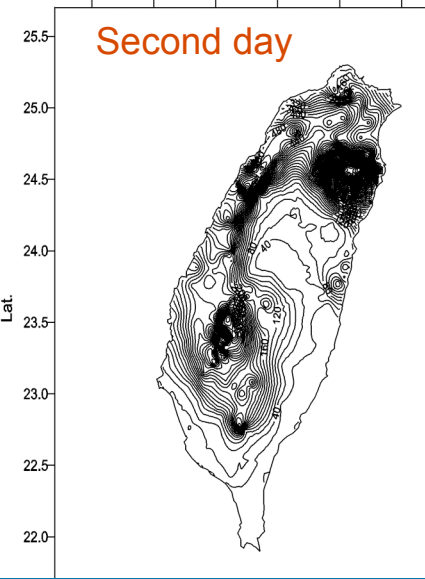
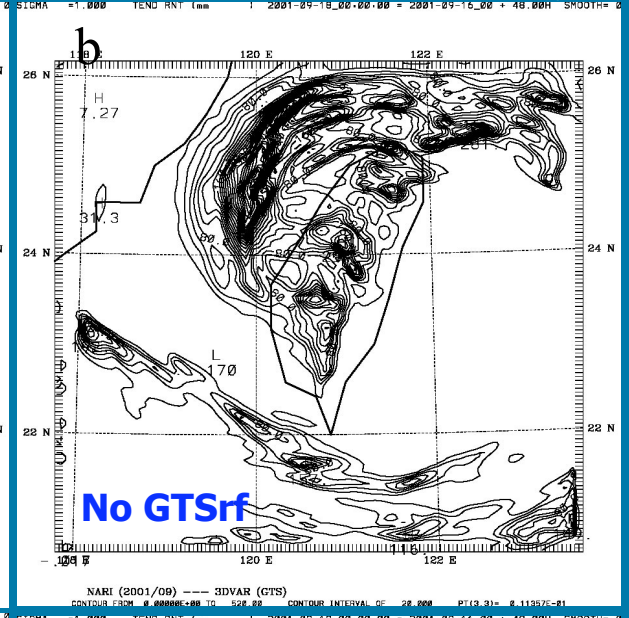
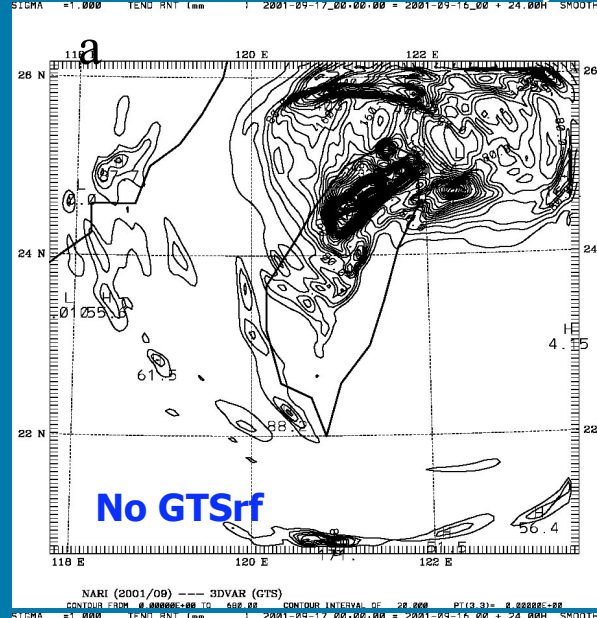
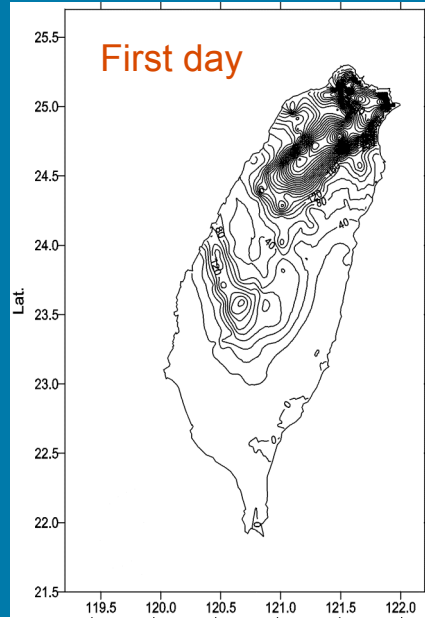


# 2001 Nari Typhoon

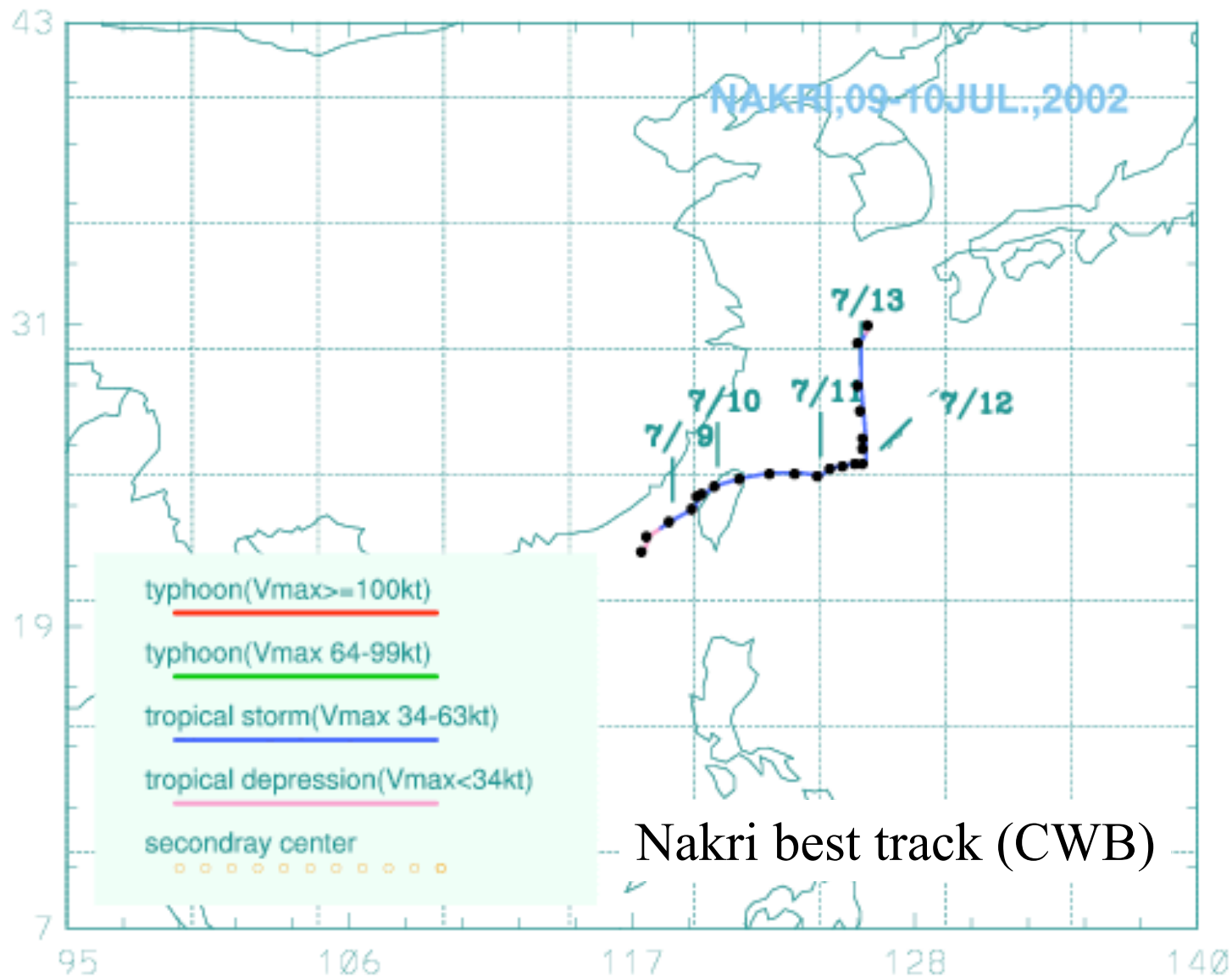
## MM5 simulated 24-h accumulated rainfall (mm)

First day

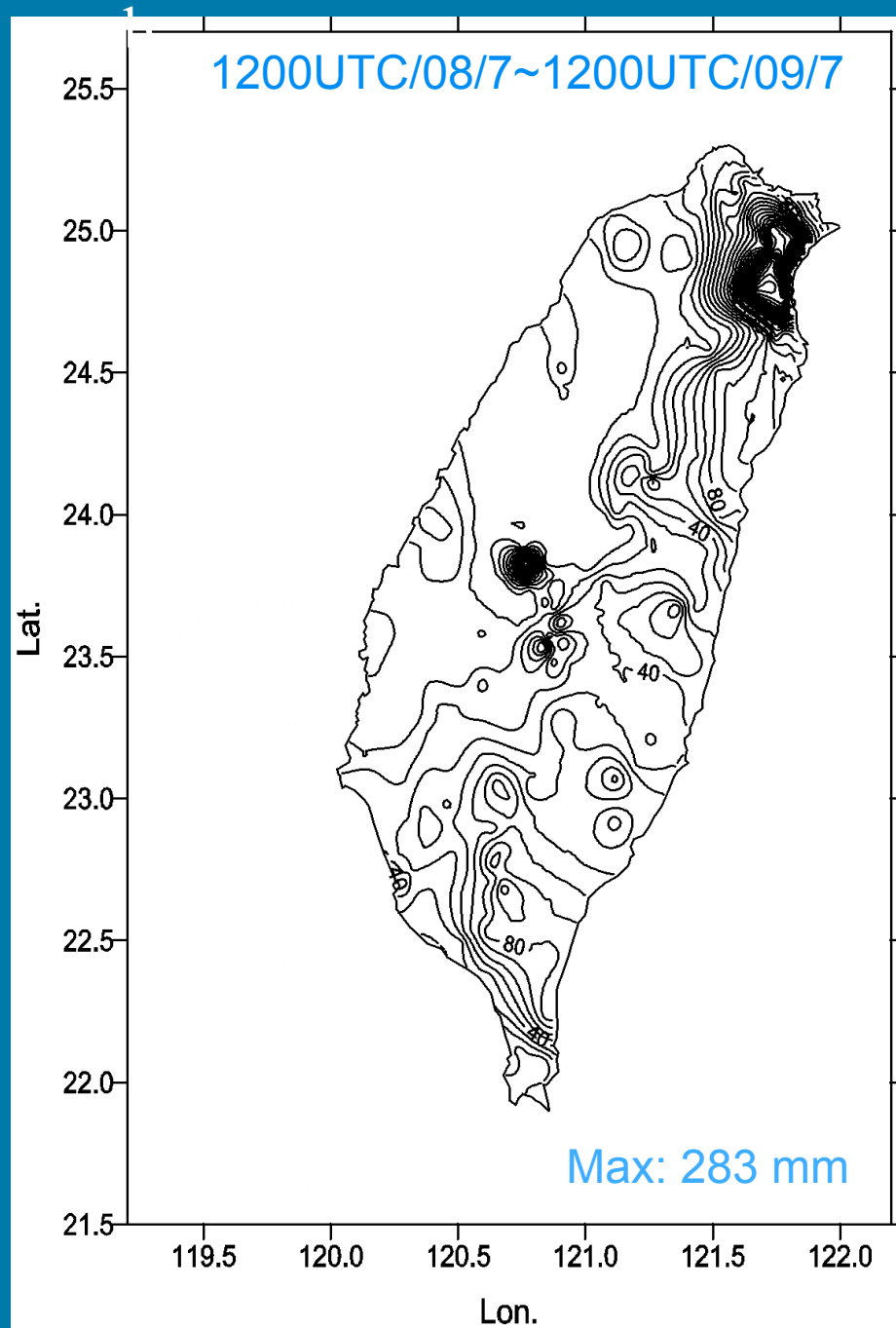
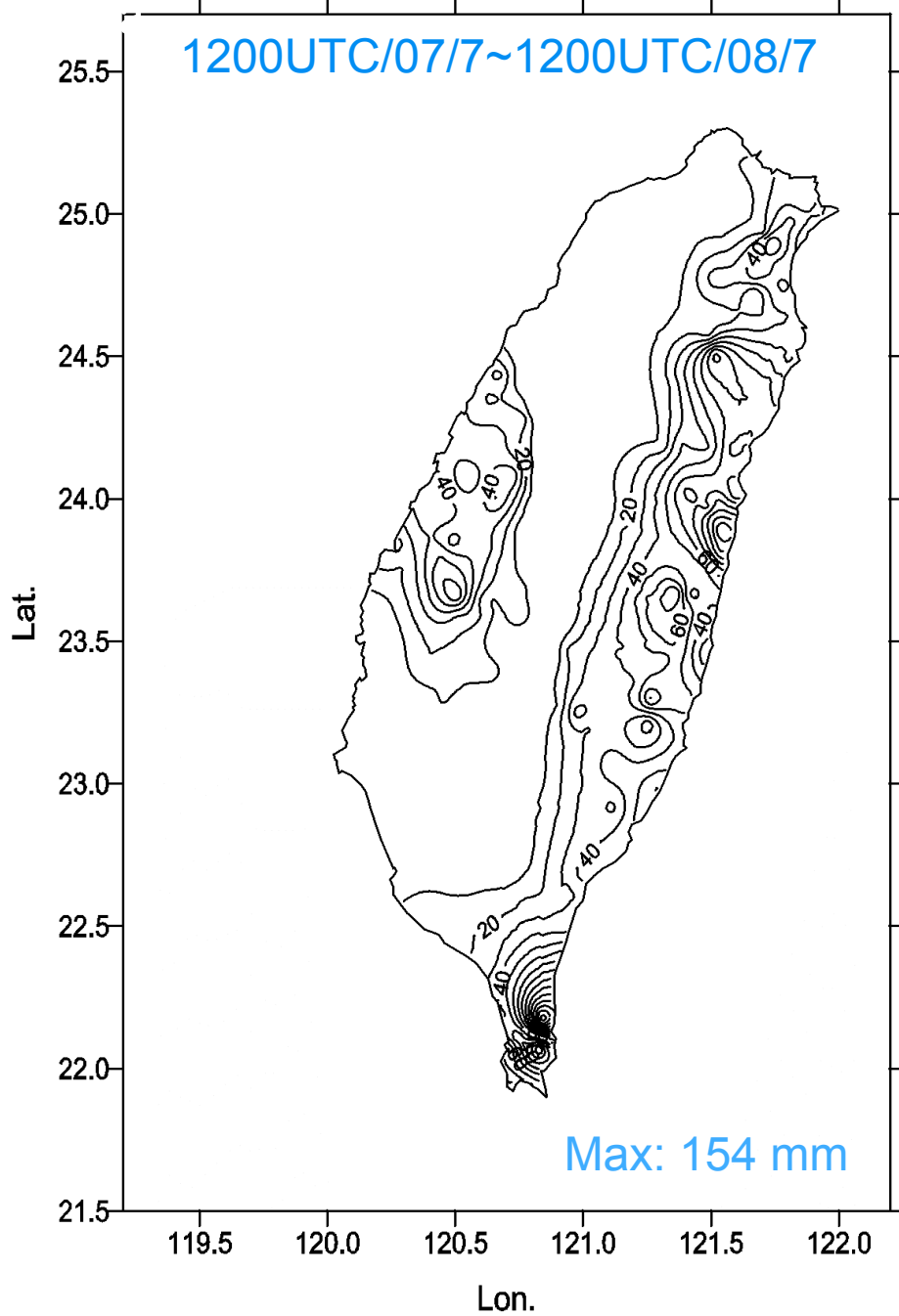
Second day



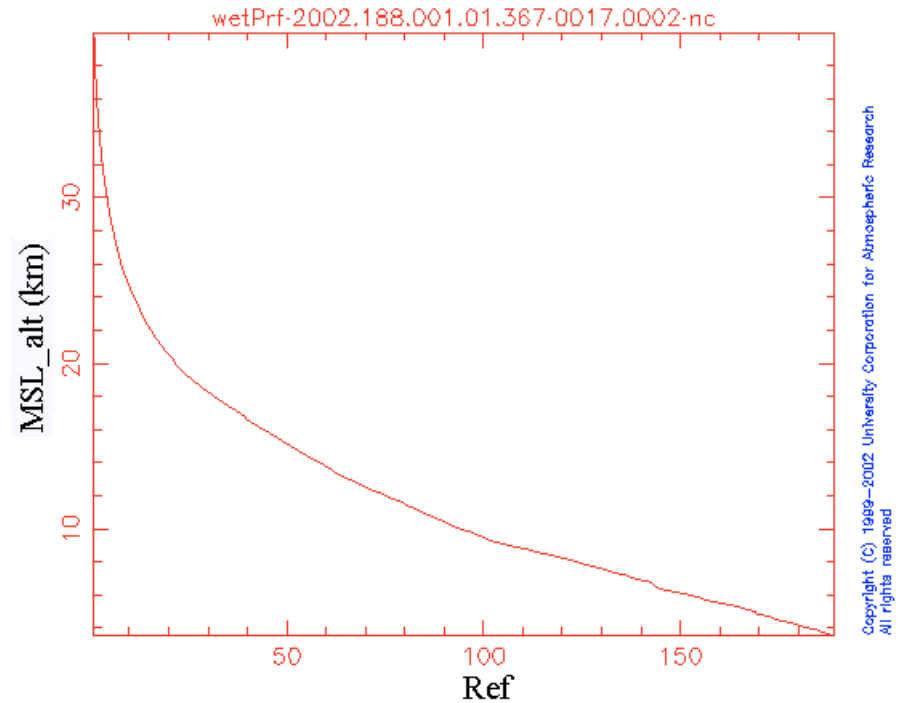
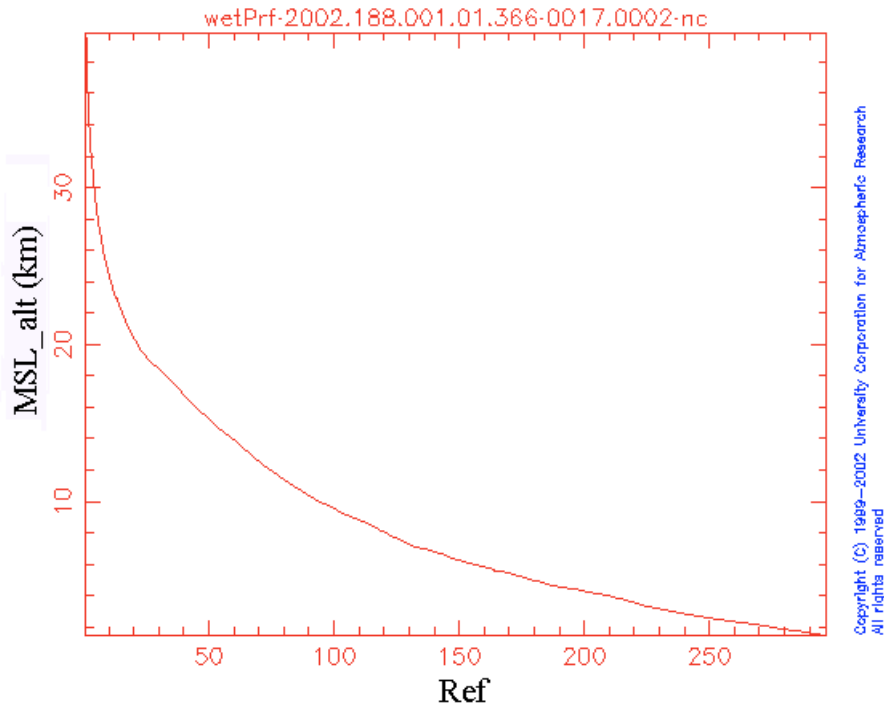
➤ Some rainfall statistics is closer to the observed, with GTSrf assimilated



- Nakri headed for Taiwan from southwest, and was categorized as a tropical storm (not a typhoon yet).

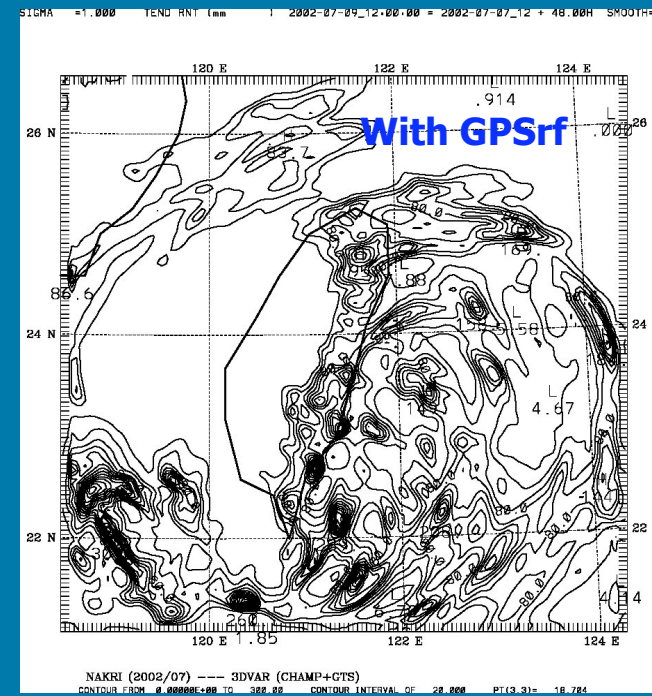
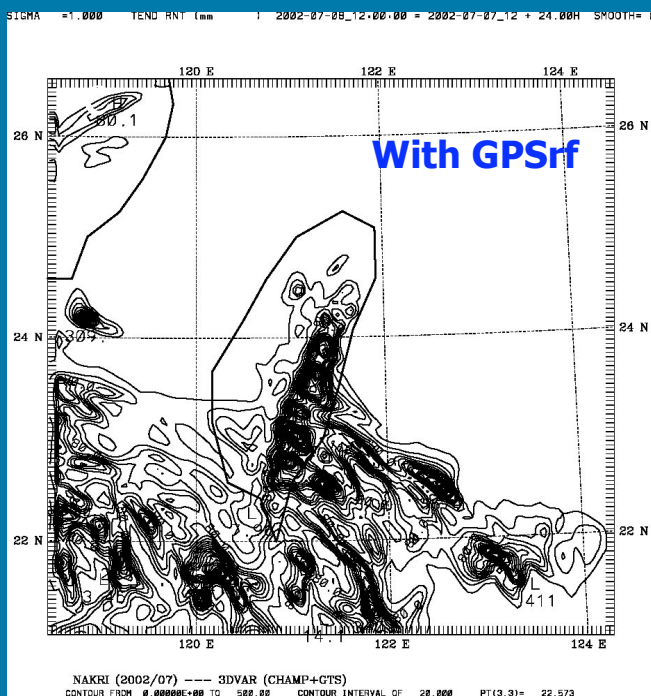
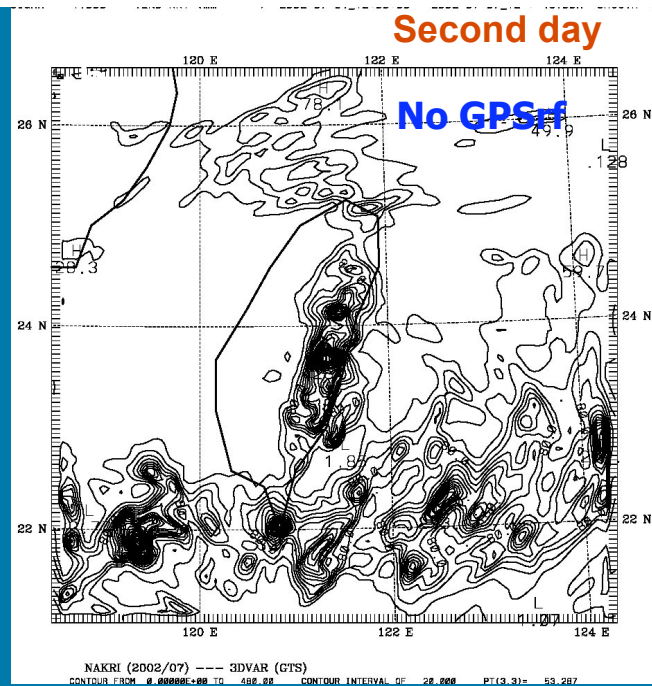
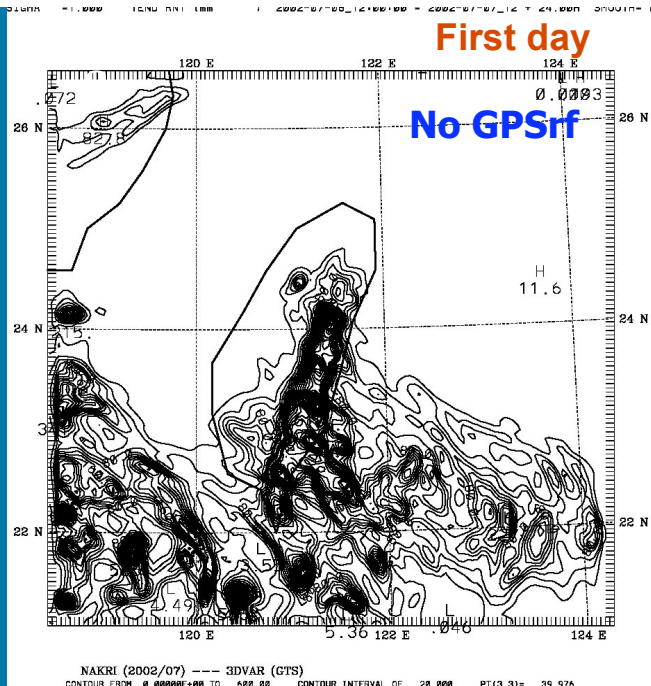


## Domain-1 (45-km grid)



## Domain-1 (45-km)

Wetprf.2002.188.001.01.366.0017.0002.nc	11:29	22.289	145.435
Wetprf.2002.188.001.01.367.0017.0002.nc	11:32	34.416	142.594
Wetprf.2002.188.001.01.412.0017.0002.nc	12:56	5.834	128.987
Wetprf.2002.188.001.01.413.0017.0002.nc	12:59	16.294	108.563
Wetprf.2002.188.001.01.417.0017.0002.nc	13:01	22.726	123.296



2 4 - h  
 accumulated  
 rainfall (mm) for  
 the Nakri case.

# Threat Score (TS)

$$TS = \frac{A}{F + O - A}$$

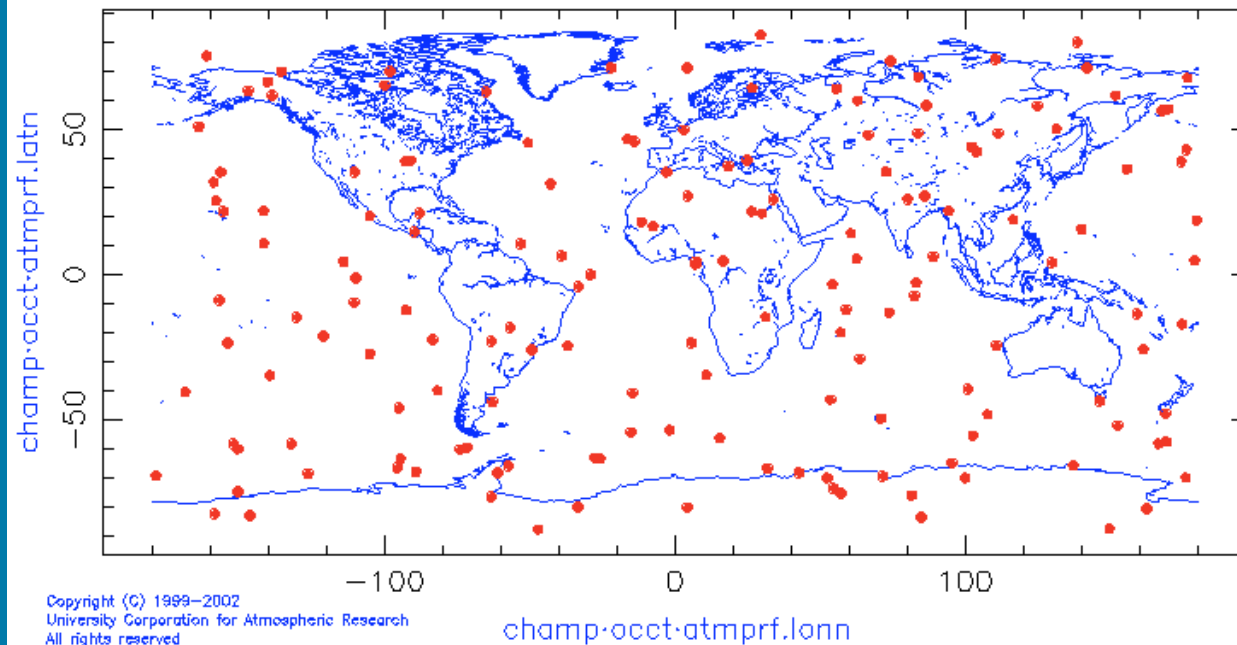
- *A*: the number of the grids on which both forecast and observation exceed the threshold,
  - *F*: the number of the grids on which forecast exceeds the threshold, and
  - *O*: the number of the grids on which observation exceeds the threshold.
- > 1,500 verification grid points on the island.

# Threat Scores

Cases	Nari <i>GTS</i>	Nari <i>GPSrf</i>	Nari <i>GTS</i>	Nari <i>GPSrf</i>	Nakri <i>GTS</i>	Nakri <i>GPSrf</i>	Nakri <i>GTS</i>	Nakri <i>GPSrf</i>
	0-24 h	0-24 h	24-48 h	24-48 h	0-24 h	0-24 h	24-48 h	24-48 h
0.25 mm	0.545	0.540	0.539	0.536	0.504	0.480	0.505	0.475
0.5 mm	0.544	0.539	0.538	0.535	0.482	0.464	0.498	0.448
1 mm	0.544	0.542	0.532	0.533	0.411	0.425	0.486	0.411
2 mm	0.530	0.524	0.527	0.531	0.376	0.363	0.463	0.378
5 mm	0.489	0.484	0.530	0.528	0.273	0.244	0.321	0.320
10 mm	0.489	0.492	0.527	0.530	0.182	0.174	0.277	0.278
15 mm	0.503	0.496	0.526	0.538	0.149	0.140	0.248	0.258
25 mm	0.524	0.496	0.543	0.531	0.126	0.127	0.233	0.235
50 mm	0.497	0.485	<b>0.462</b>	<b>0.504</b>	0.086	0.088	<b>0.113</b>	<b>0.166</b>
100 mm	0.473	0.444	<b>0.277</b>	<b>0.393</b>	0.009	0.000	<b>0.000</b>	<b>0.129</b>
RMSE (mm)	69.74	71.43	97.84	93.98	90.28	66.55	50.85	37.06

➤ **TS is generally higher for the run with assimilated QuikSCAT data.**

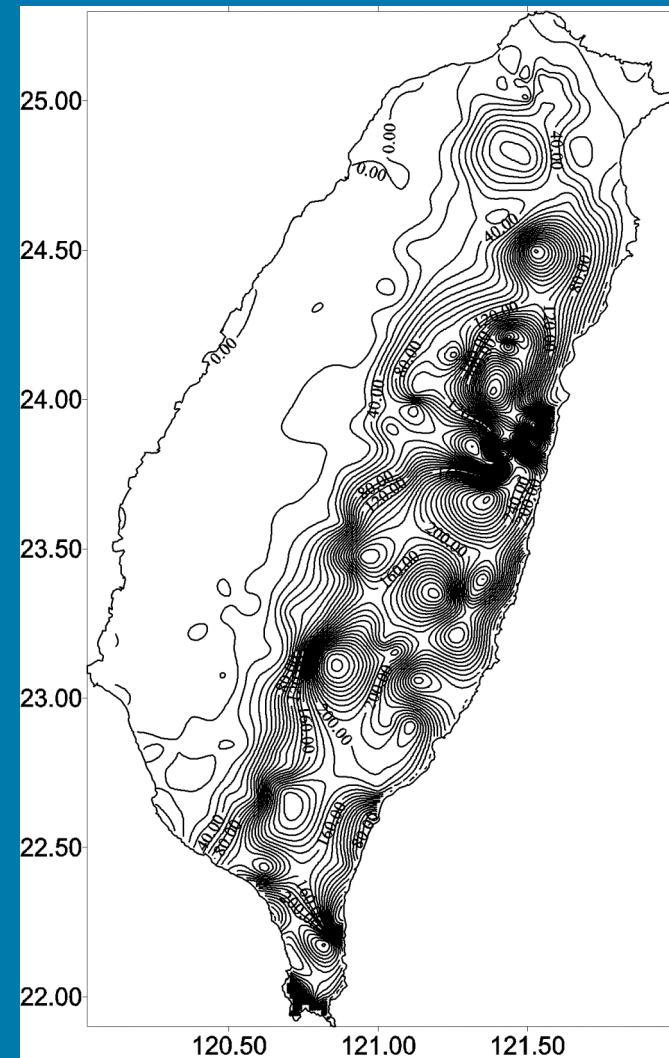
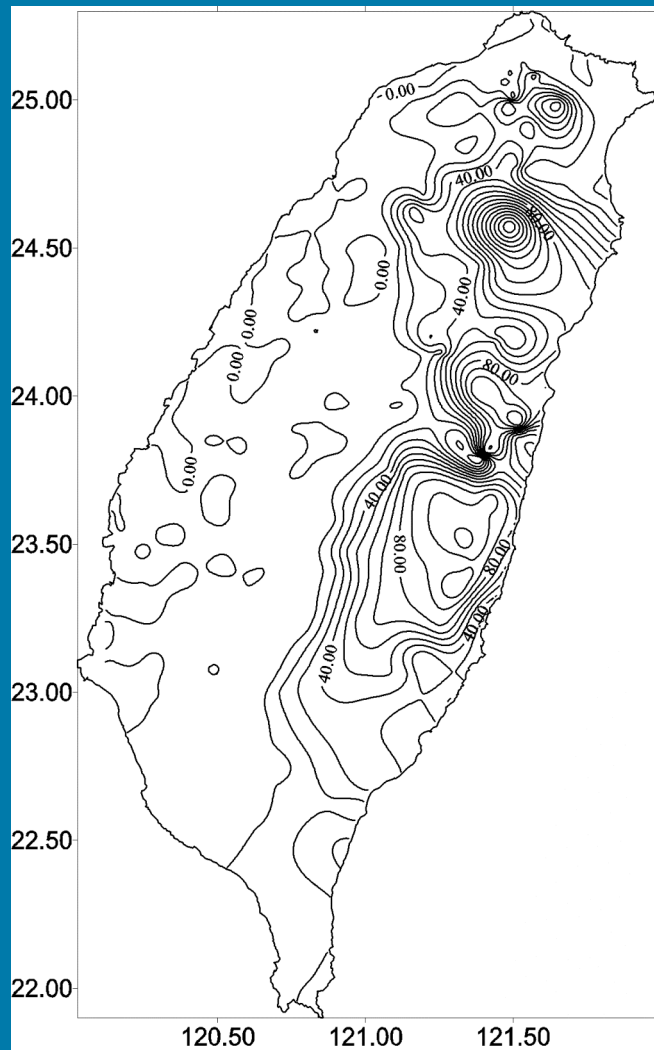
162 matches



Satellite	Time	Location (Lat, Lon)
CHAMP	09:00	(4.24 , 129.91)
CHAMP	10:29	(10.47 , 102.36)
CHAMP	10:34	(-2.40 , 133.31)
CHAMP	11:54	(49.05 , 83.74)
CHAMP	11:57	(42.39 , 104.01)
CHAMP	13:32	(27.04 , 86.15)

**Dujan's simulation, initial time (1200UTC 31 August 2003)  $\pm$  3 hr**

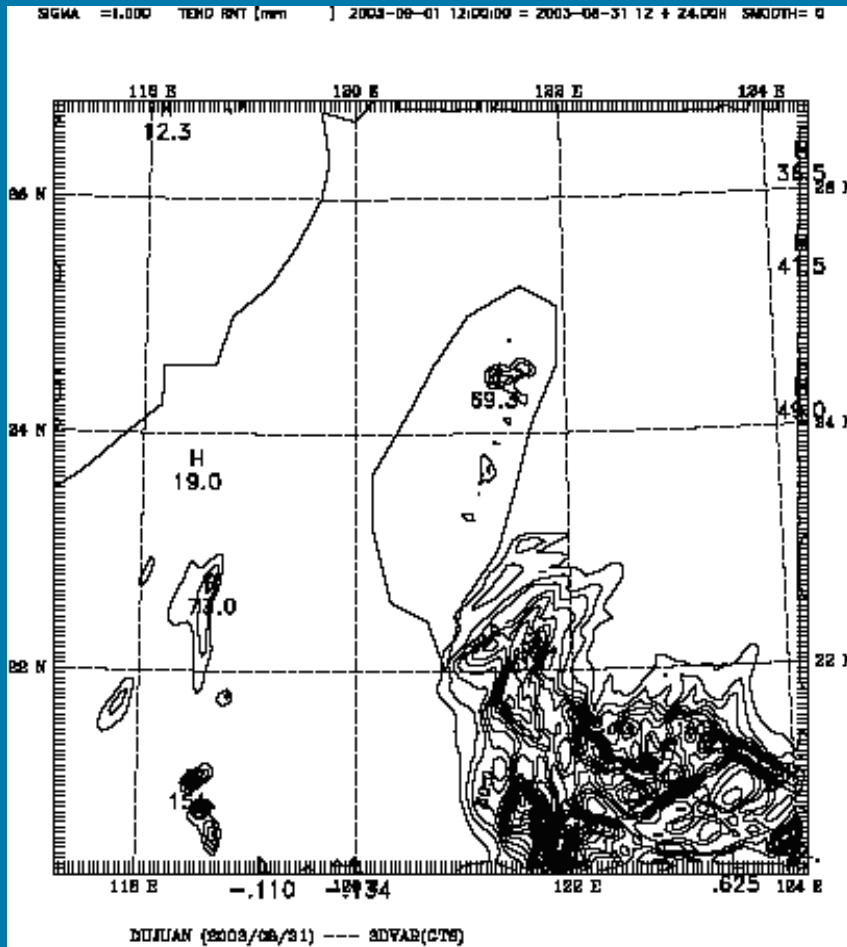




Observed rainfall for (a) 00-1200UTC 1 September 2003 (max: 166.806 mm), (b) 12-2400UTC 1 September 2003 (max: 657.744 mm).

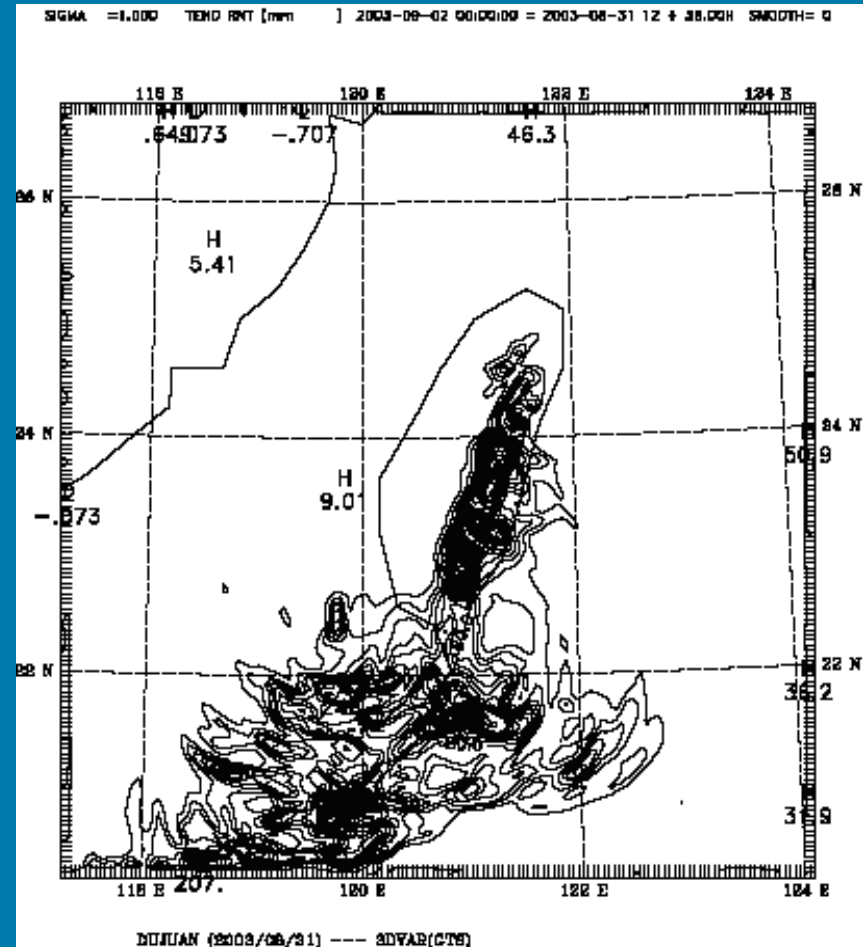
# GTS only

09/01/00-12UTC



69.3 mm

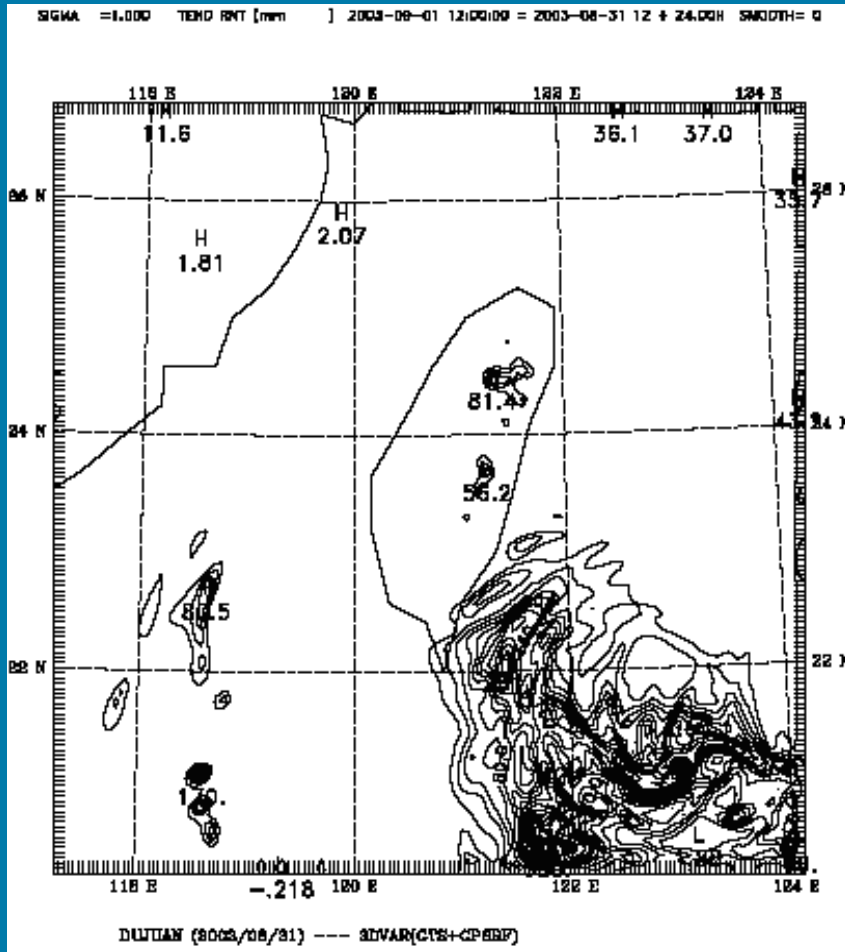
09/01/12-24UTC



369 mm

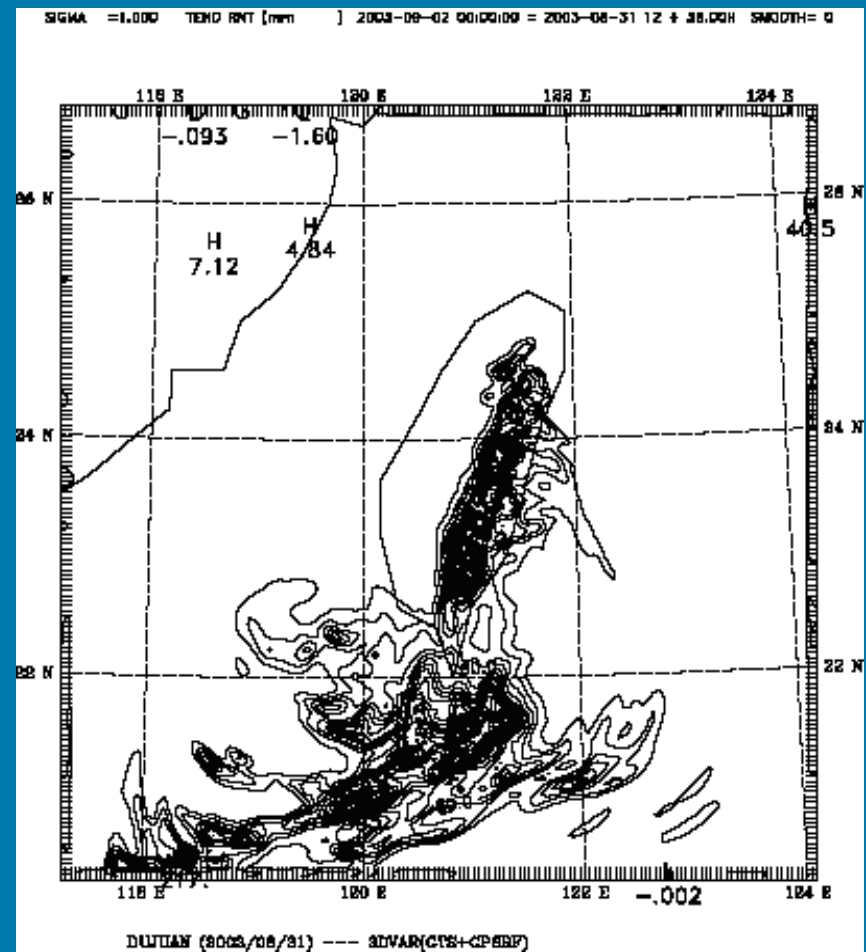
# BOTH (GTS + GPSrf)

09/01/00-12UTC



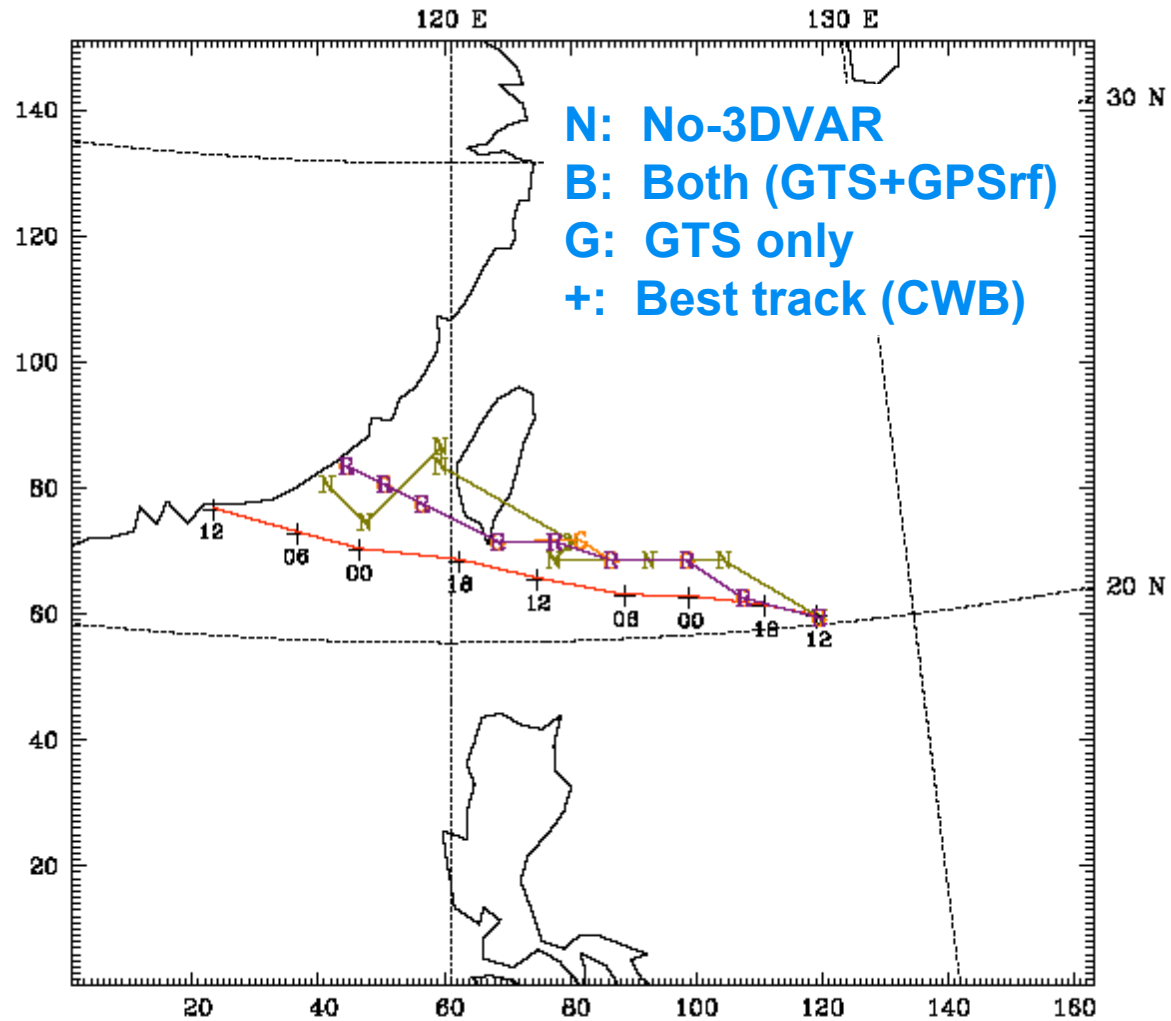
81.4 mm

09/01/12-24UTC



387 mm

# Dujuan Simulation

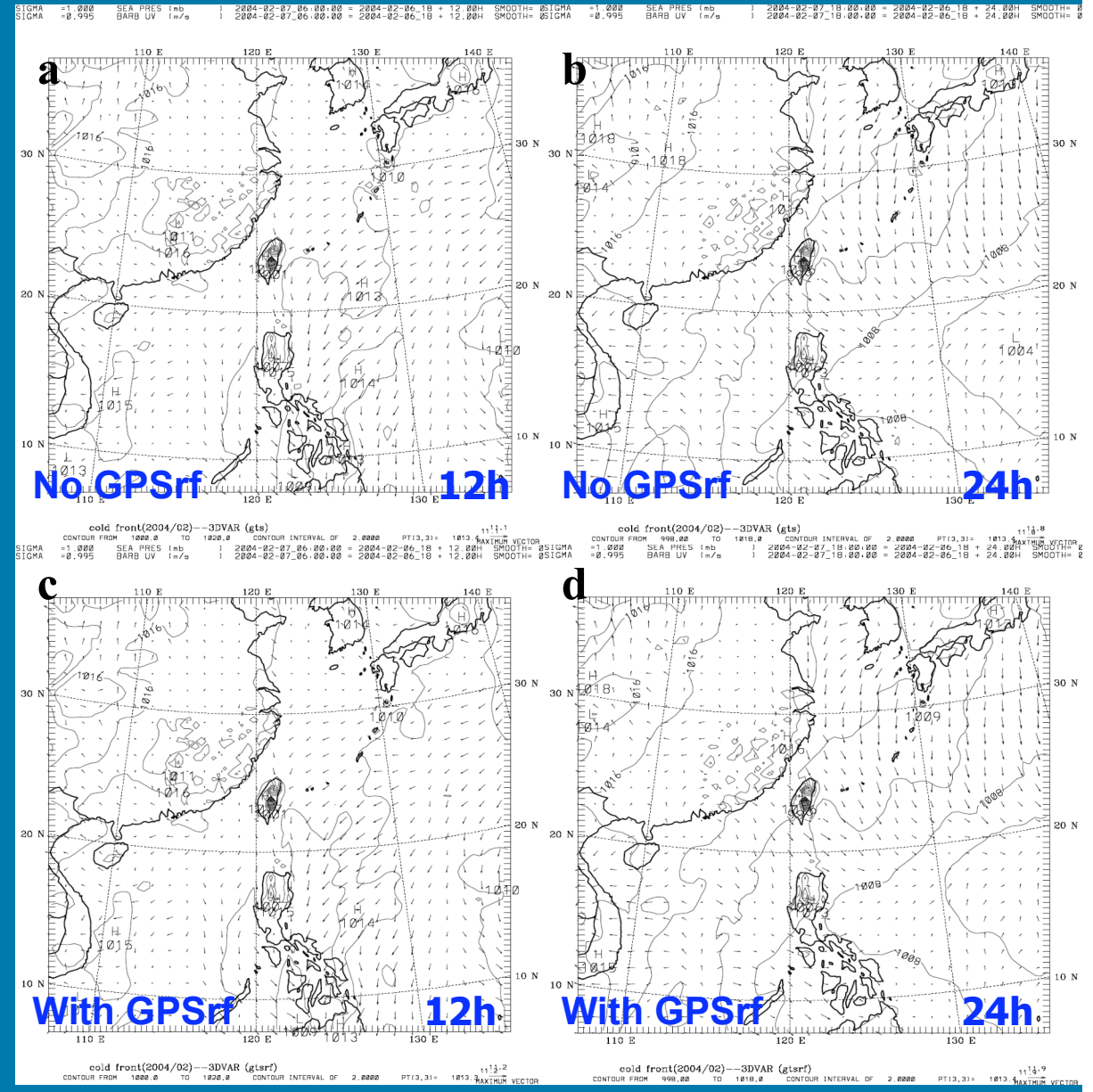


Model info: V3.6.0 Kain-Frsch MRF PBL GSFC Graup 15 km, 23 levels, 45 sec

# 2004/02 Cold front

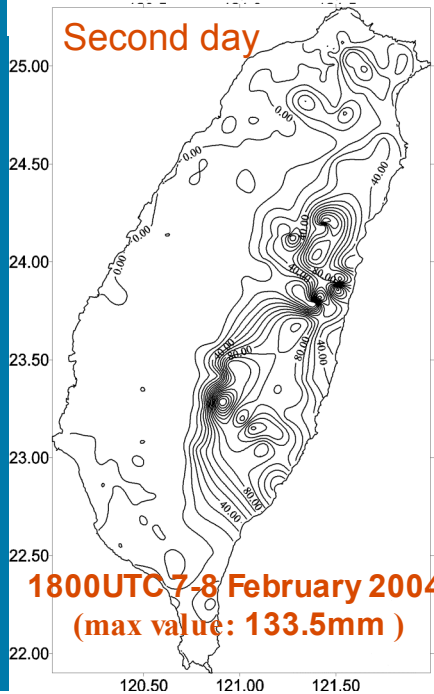
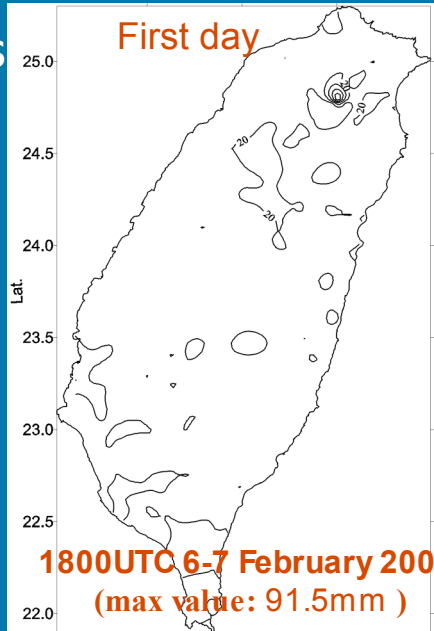
Initial time: 2004/2/6/1800UTC

MM5 simulated near-surface pressure (mb) and wind ( $\text{ms}^{-1}$ ) at 12h, 24h.



# 2004/02 Cold front

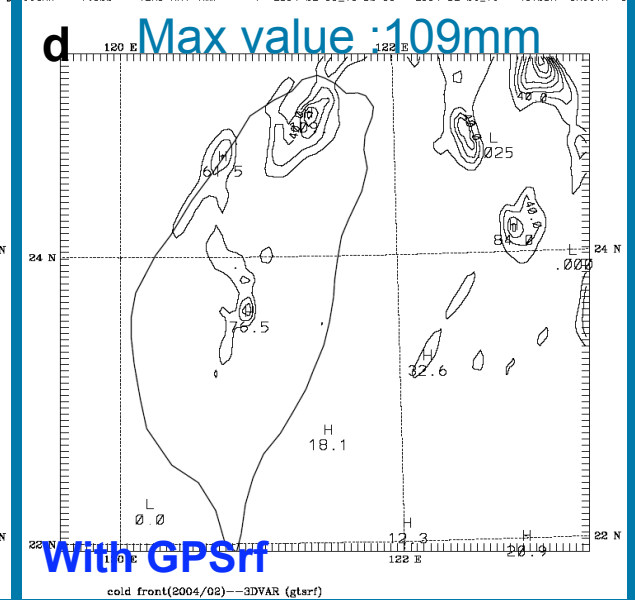
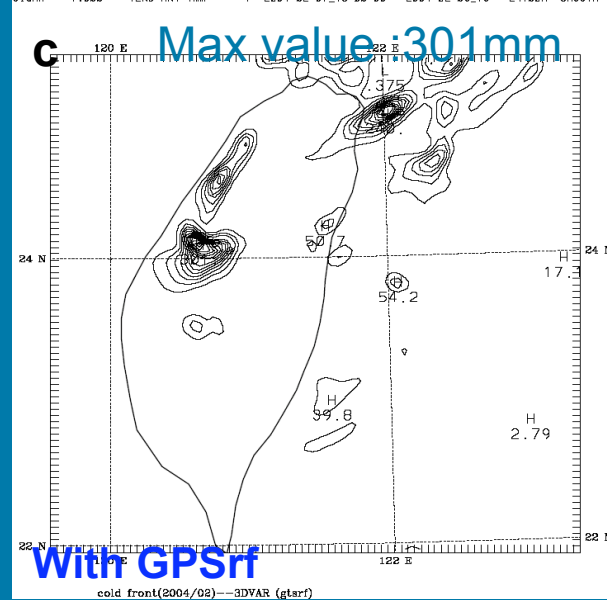
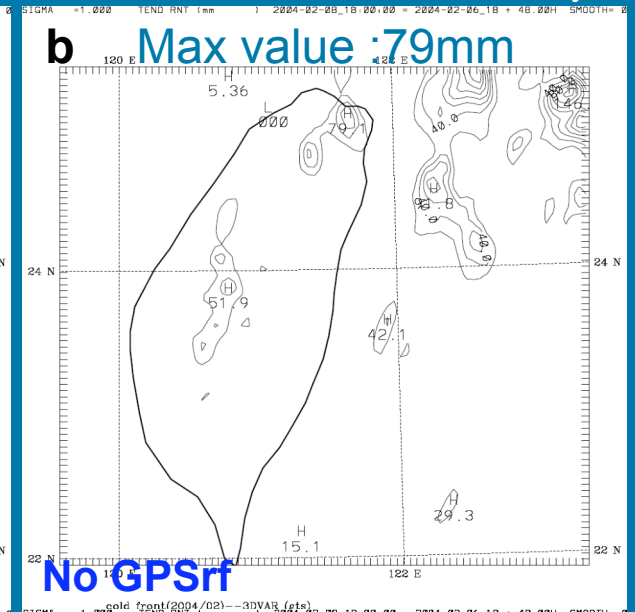
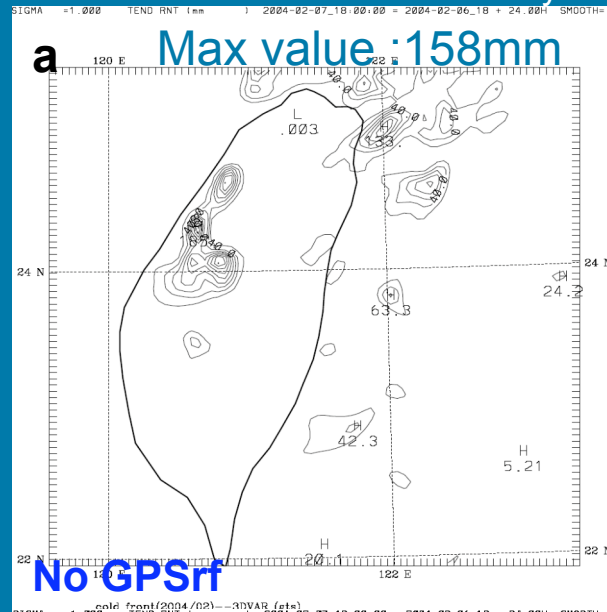
Obs



# MM5 simulated 24-h accumulated rainfall (mm)

First day

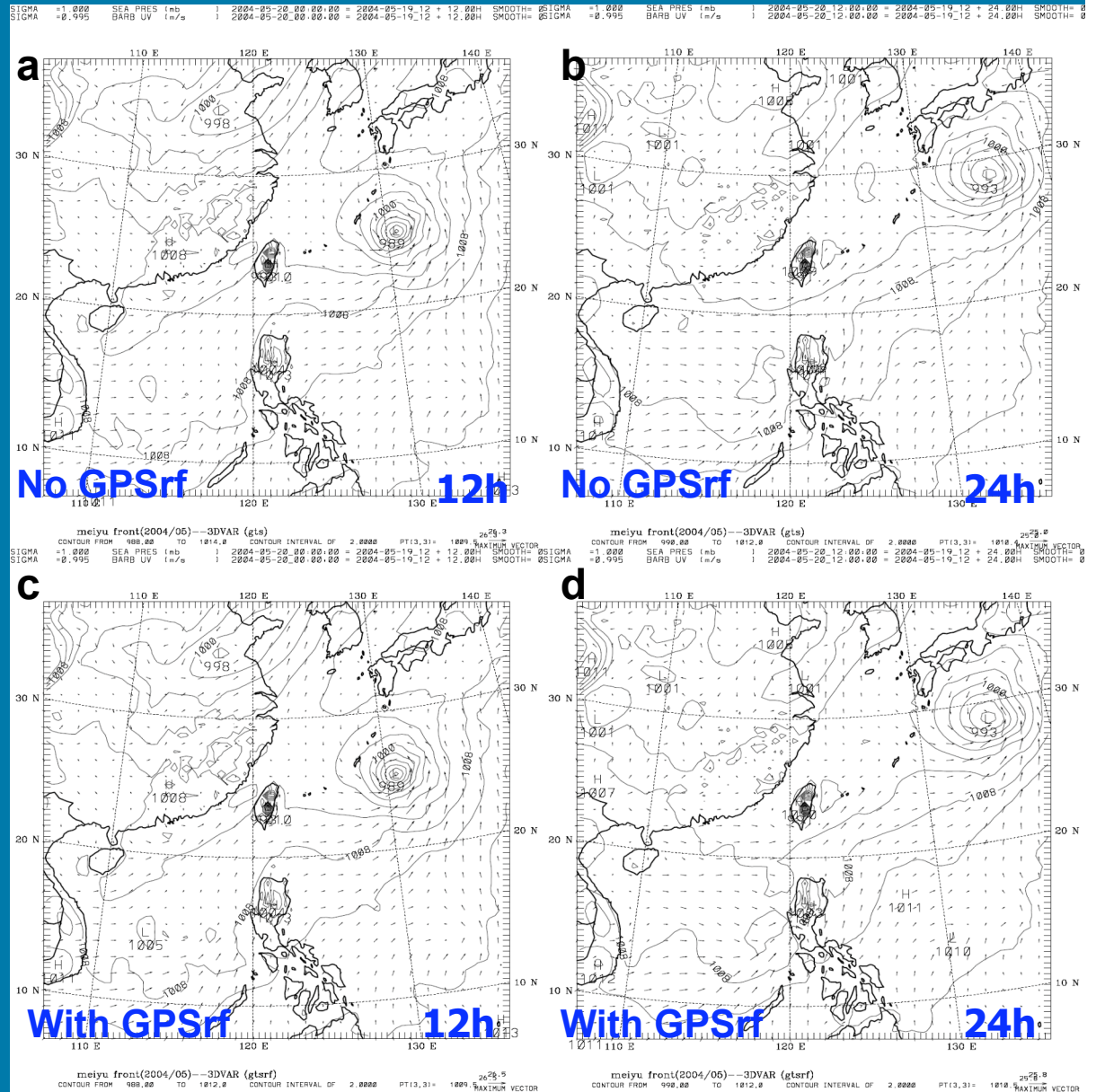
Second day



# 2004/05 Meiyu Front

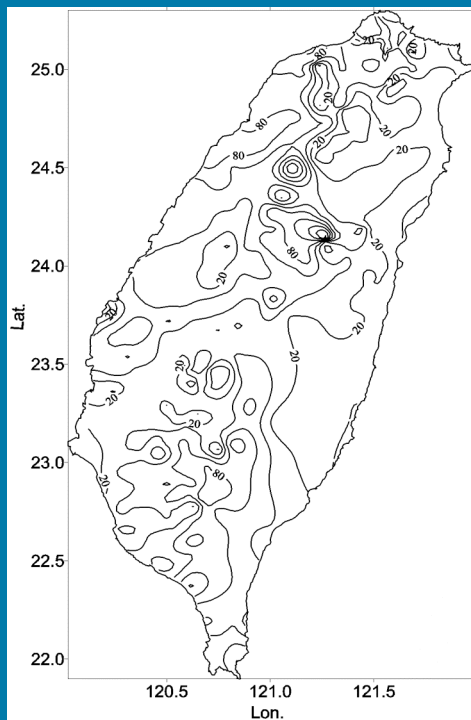
Initial time: 2004/5/19/1200UTC

MM5 simulated near-surface pressure (mb) and wind ( $\text{ms}^{-1}$ ) at 12h, 24h.

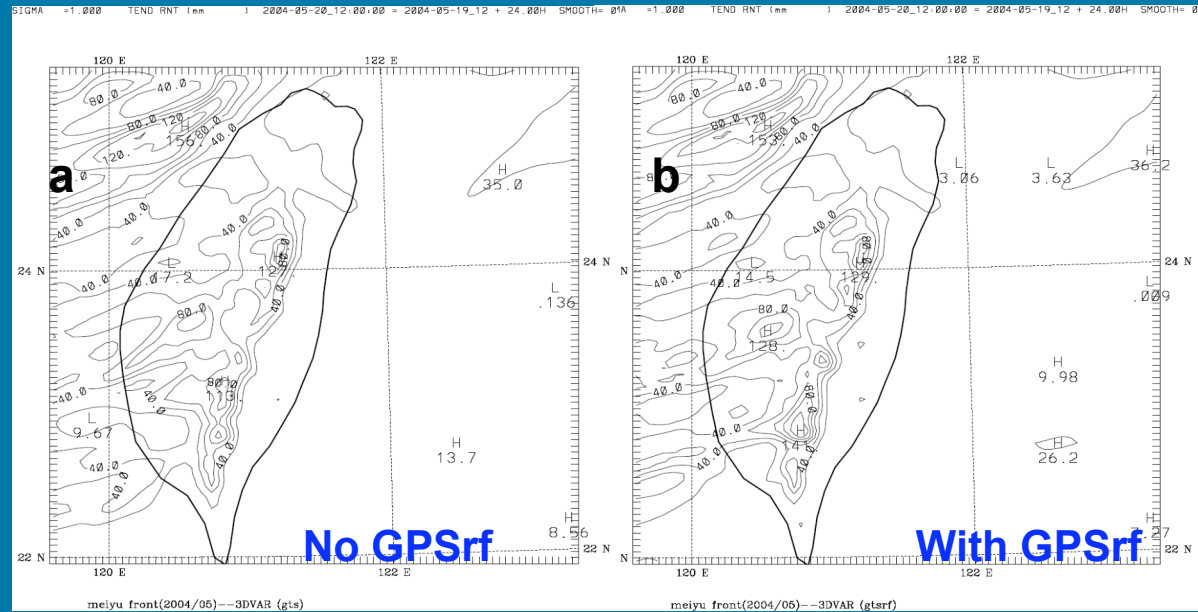


# 2004/05 Meiyu Front

## MM5 simulated 24-h accumulated rainfall (mm)



1200UTC 19-20 May 2004  
(max value: 162mm)



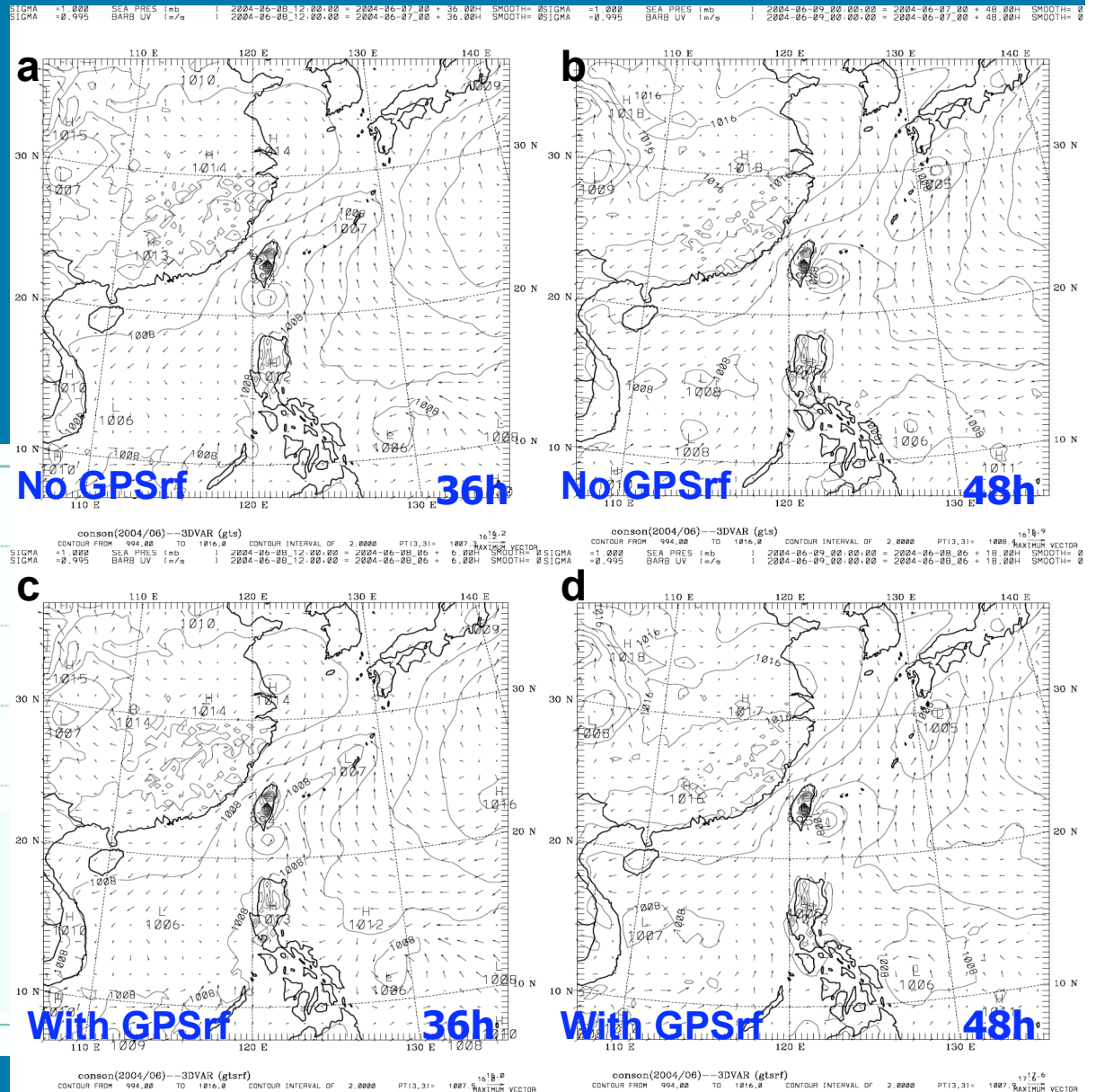
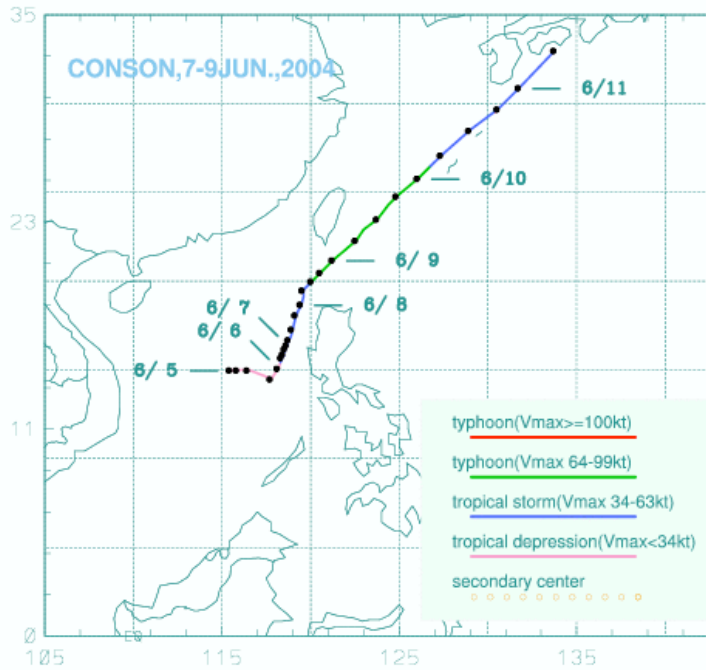
(a) max value:127mm (b) max value:141mm



# 2004/06 Conson Typhoon

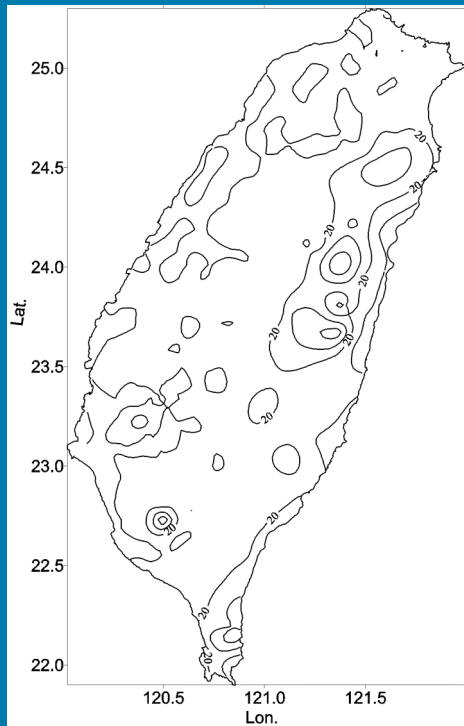
Initial time: 2004/6/7/0000UTC

MM5 simulated near-surface pressure (mb) and wind ( $\text{ms}^{-1}$ ) at 36h, 48h.

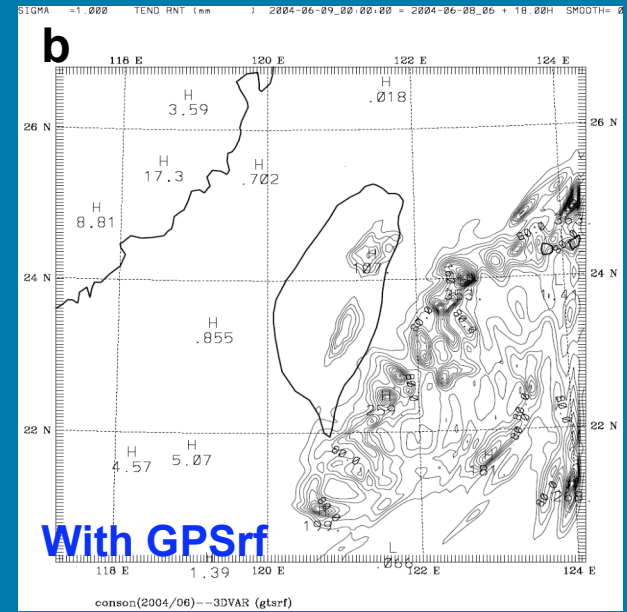
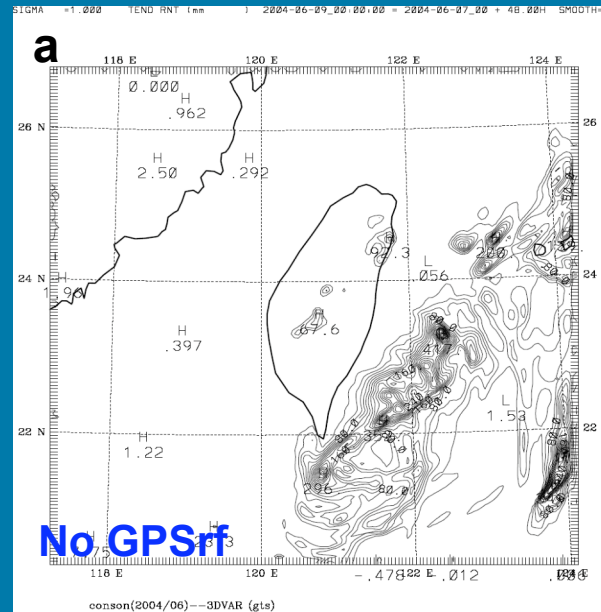


# 2004/06 Conson

## MM5 simulated 18-h accumulated rainfall (mm)



0600-2400UTC 8 June 2004  
(max value: 76.9mm )



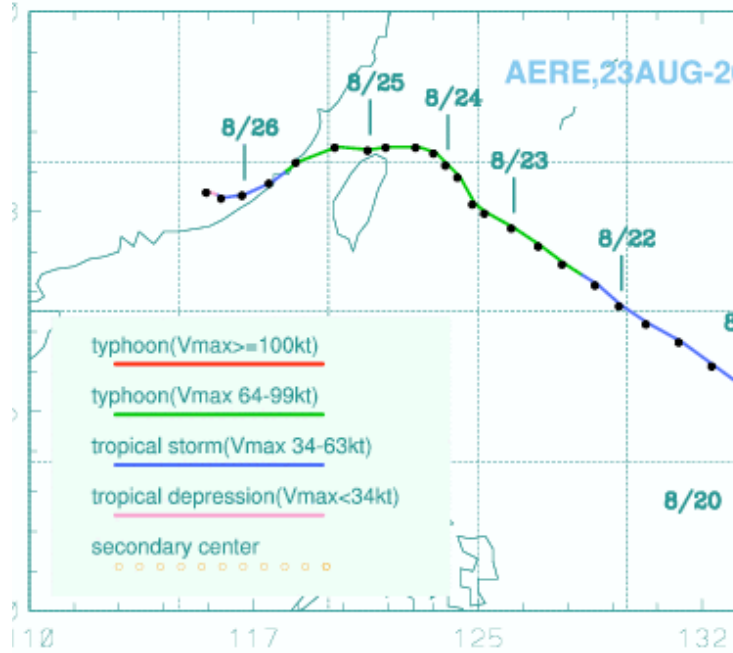
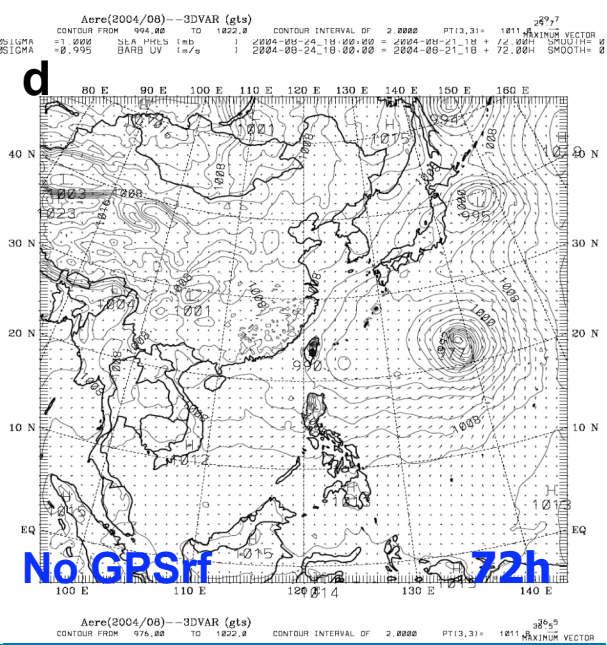
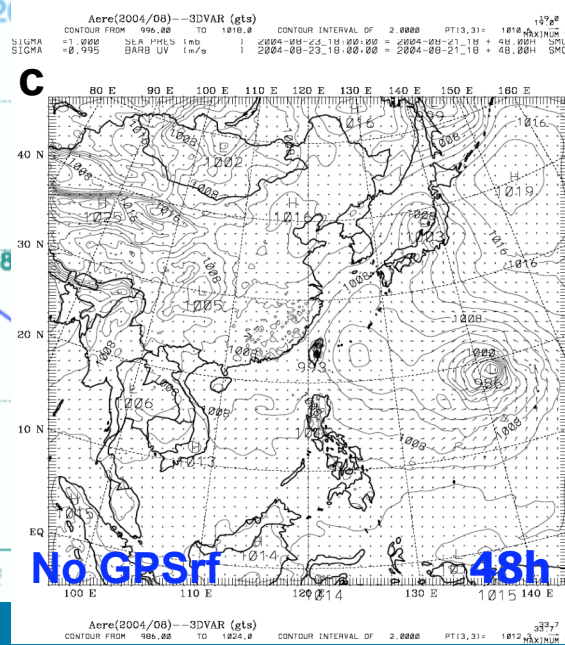
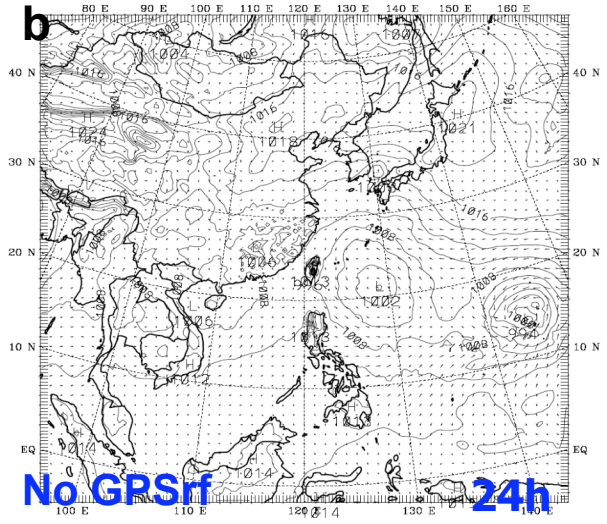
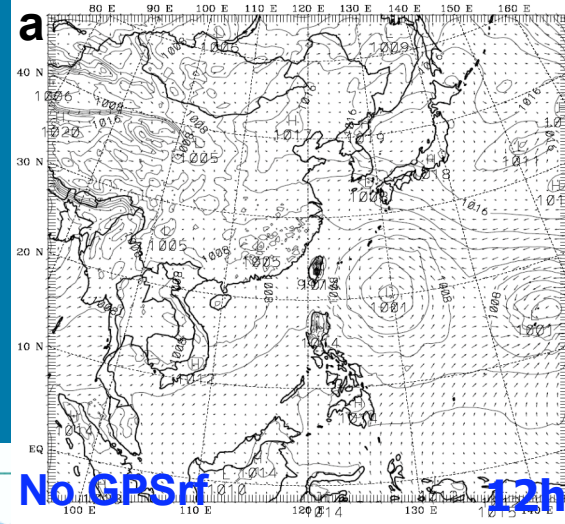
( a ) max value:92.3mm ( b ) max value:107mm ◦

# 2004/08 Aere Typhoon

Initial time: 2004/8/21/1800UTC

MM5 simulated near-surface pressure (mb) and wind ( $\text{ms}^{-1}$ ) at 12h, 24h, 48h, 72h.

SIGMA = 1.000 SEA PRES (mb) : 2004-08-22 06 00:00 = 2004-08-21 18 + 12.00H SMOOTH= 0 SIGMA = 1.000 SEA PRES (mb) : 2004-08-22 18 00:00 = 2004-08-21 18 + 24.00H SMOOTH= 0  
 SIGMA = 0.995 BARB UV (m/s) : 2004-08-22 06 00:00 = 2004-08-21 18 + 12.00H SMOOTH= 0 SIGMA = 0.995 BARB UV (m/s) : 2004-08-22 18 00:00 = 2004-08-21 18 + 24.00H SMOOTH= 0



No GPS fit

No GPS fit

No GPS fit

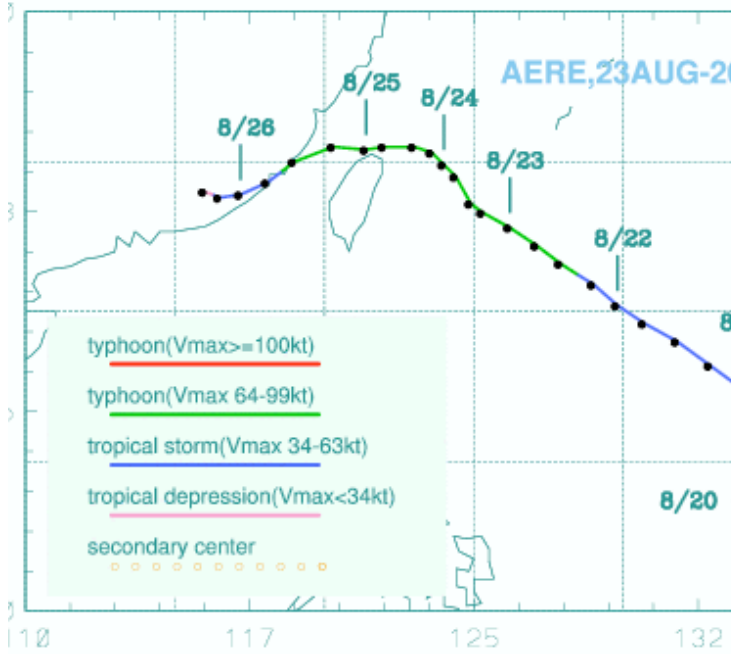
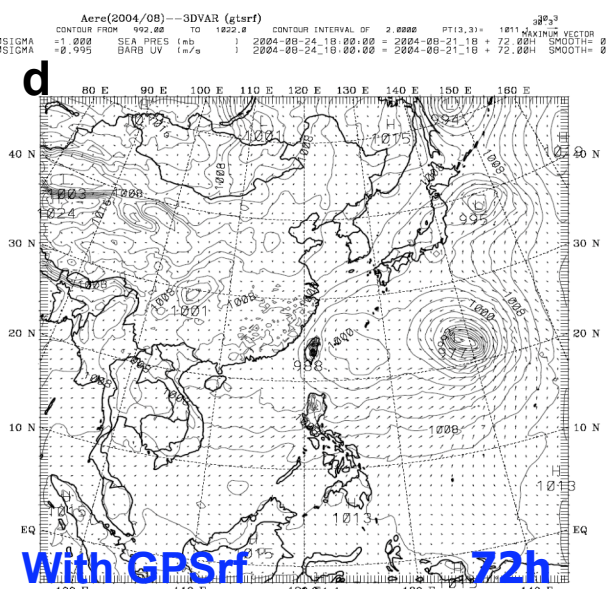
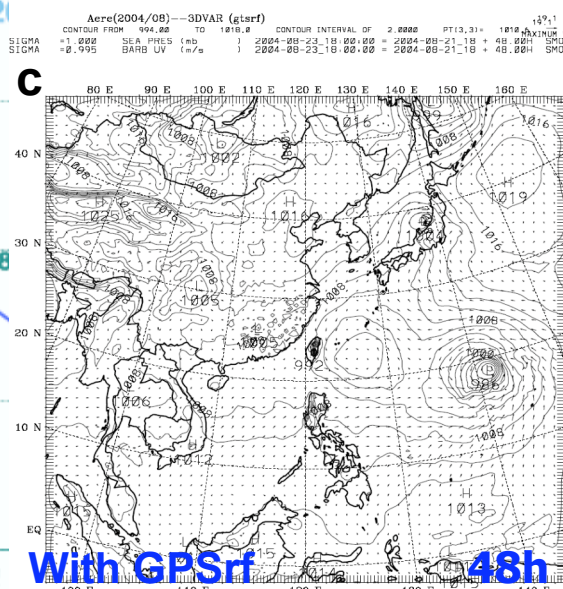
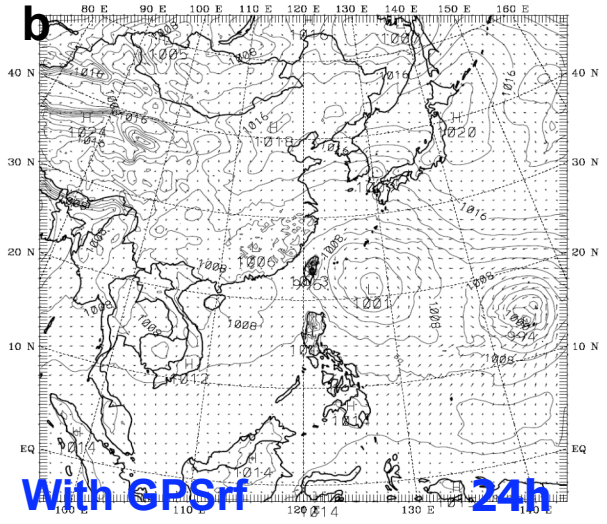
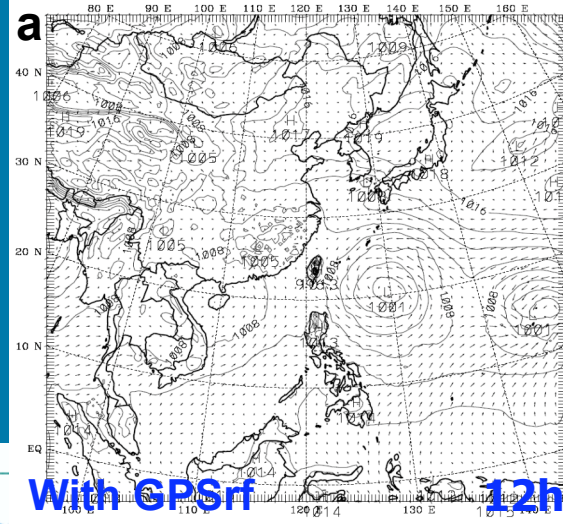
No GPS fit

# 2004/08 Aere Typhoon

Initial time: 2004/8/21/1800UTC

MM5 simulated near-surface pressure (mb) and wind ( $\text{ms}^{-1}$ ) at 12h, 24h, 48h, 72h.

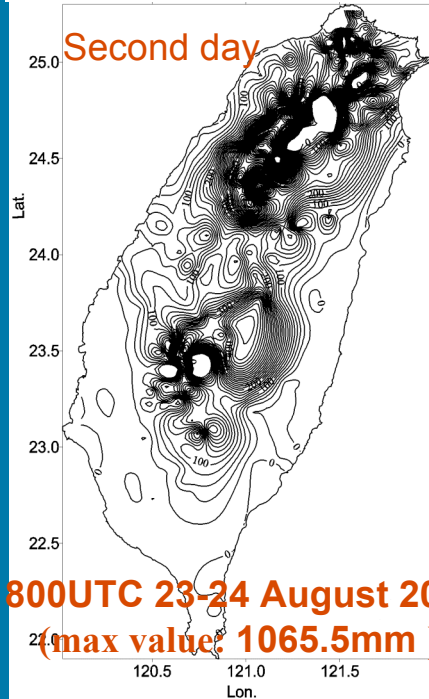
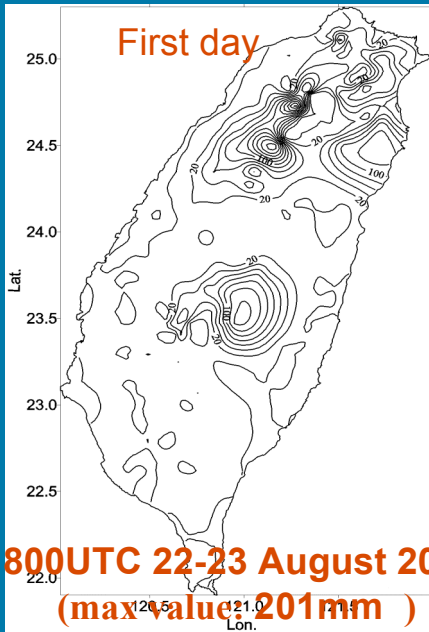
SIGMA = 1.000 SEA PRES (mb) | 2004-08-22 06:00:00 = 2004-08-21-18 + 12.00H SMOOTH= 0 SIGMA = 1.000 SEA PRES (mb) | 2004-08-22 18:00:00 = 2004-08-21-18 + 24.00H SMOOTH= 0  
 SIGMA = 0.995 BARB UV (m/s) | 2004-08-22 06:00:00 = 2004-08-21-18 + 12.00H SMOOTH= 0 SIGMA = 0.995 BARB UV (m/s) | 2004-08-22 18:00:00 = 2004-08-21-18 + 24.00H SMOOTH= 0



Aere(2004/08)—3DVAR (gtsrf) CONTOUR FROM 994.00 TO 1018.0 CONTOUR INTERVAL OF 2.0000 PT(13,31) 1011 MAXIMUM VECTOR 38°/3  
 SIGMA = 1.000 SEA PRES (mb) | 2004-08-23 18:00:00 = 2004-08-21-18 + 48.00H SMOOTH= 0 SIGMA = 1.000 SEA PRES (mb) | 2004-08-24 18:00:00 = 2004-08-21-18 + 72.00H SMOOTH= 0  
 SIGMA = 0.995 BARB UV (m/s) | 2004-08-23 18:00:00 = 2004-08-21-18 + 48.00H SMOOTH= 0 SIGMA = 0.995 BARB UV (m/s) | 2004-08-24 18:00:00 = 2004-08-21-18 + 72.00H SMOOTH= 0

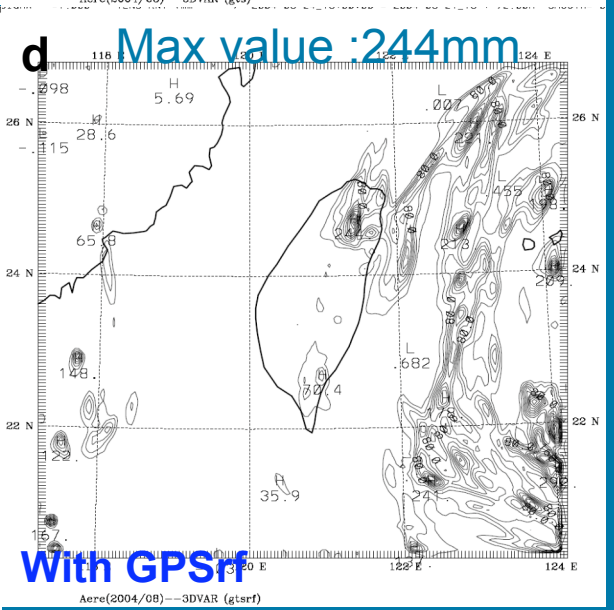
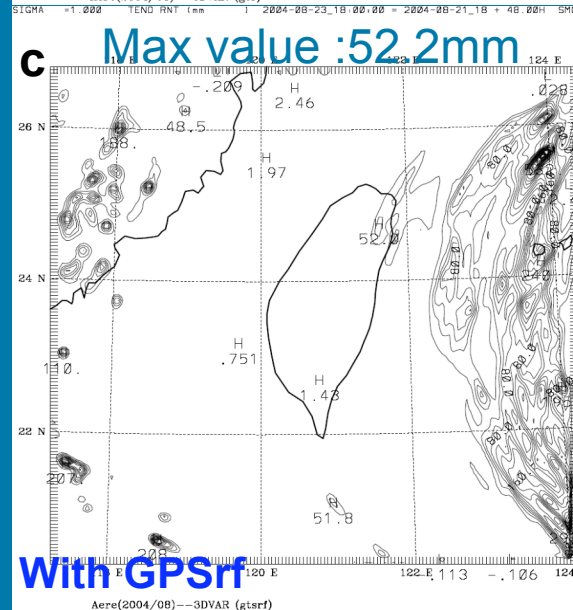
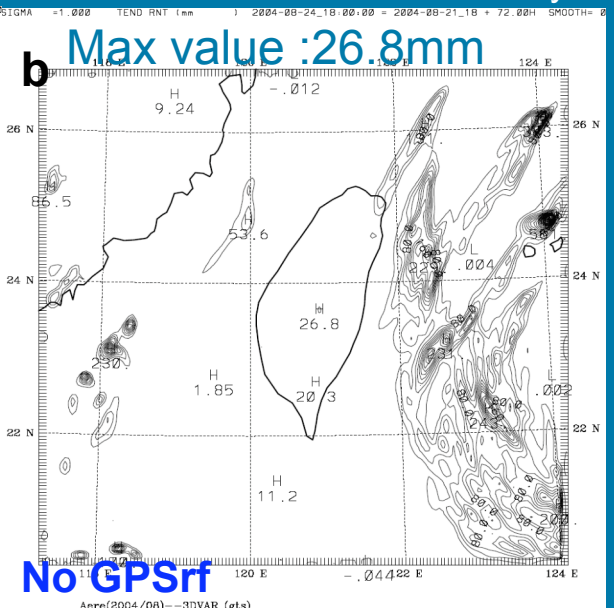
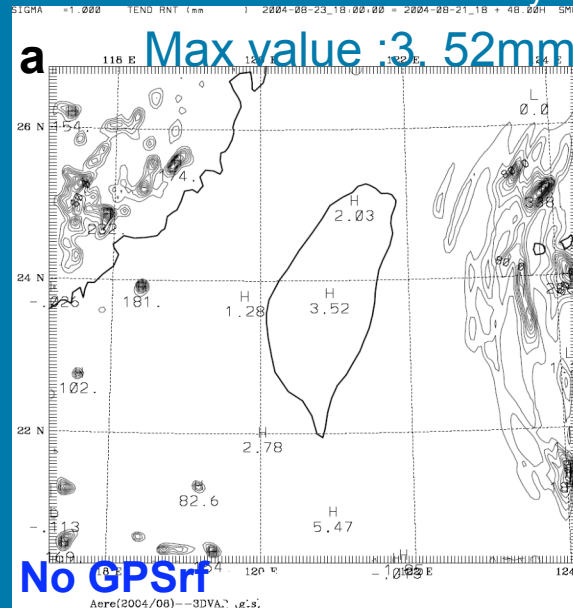
# 2004/08 Aere

# MM5 simulated 24-h accumulated rainfall (mm)



First day

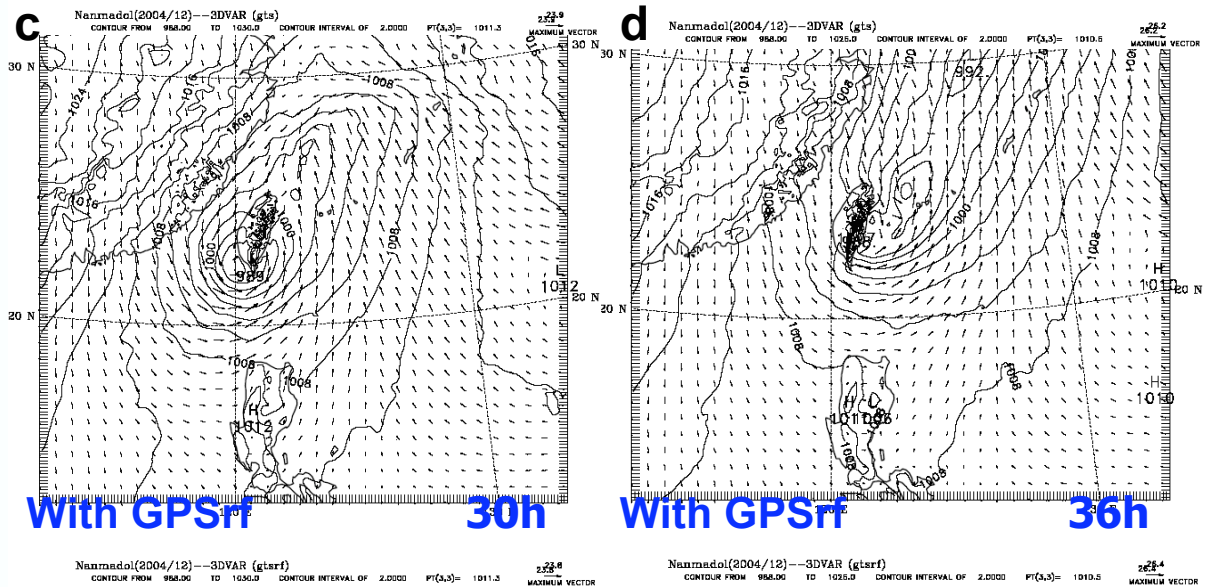
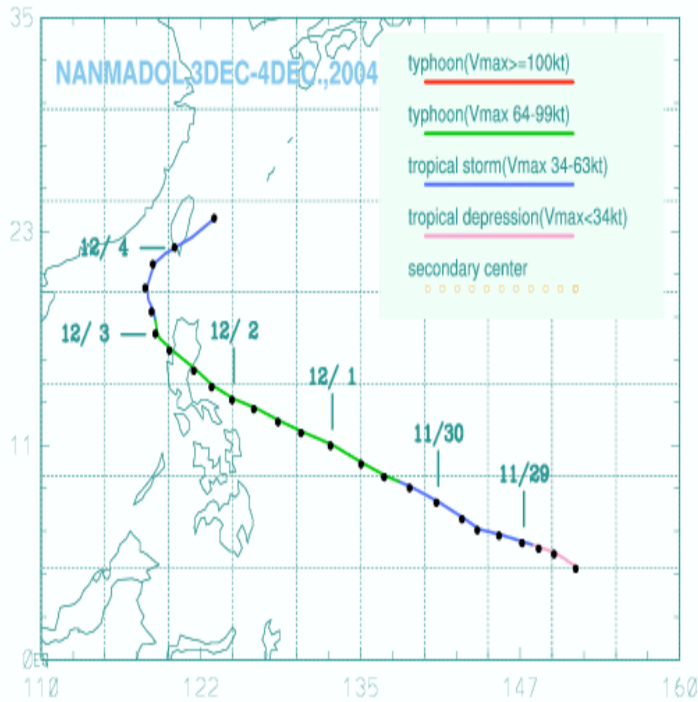
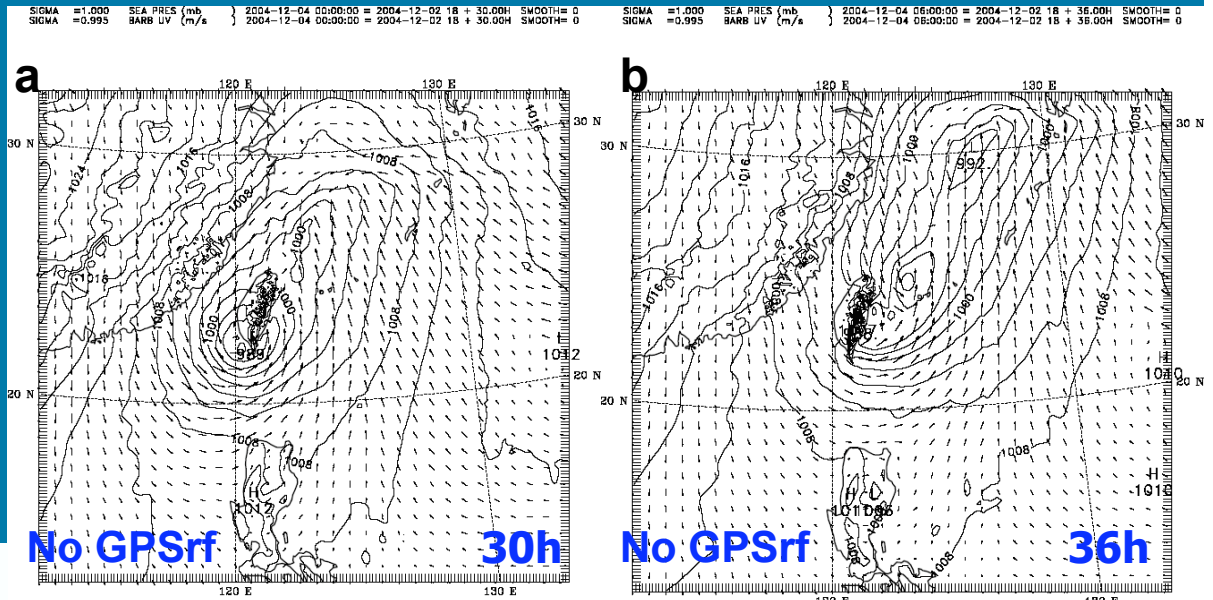
Second day



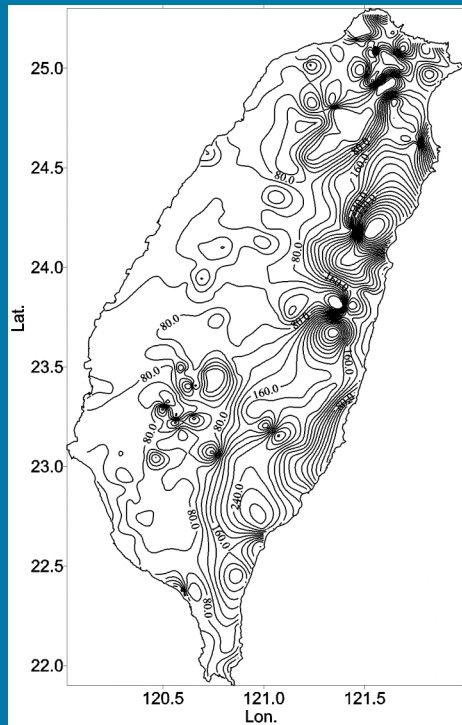
# 2004/12 Nanmadol Typhoon

Initial time: 2004/12/02/1800UTC

MM5 simulated near-surface pressure (mb) and wind ( $\text{ms}^{-1}$ ) at 30h, 36h,

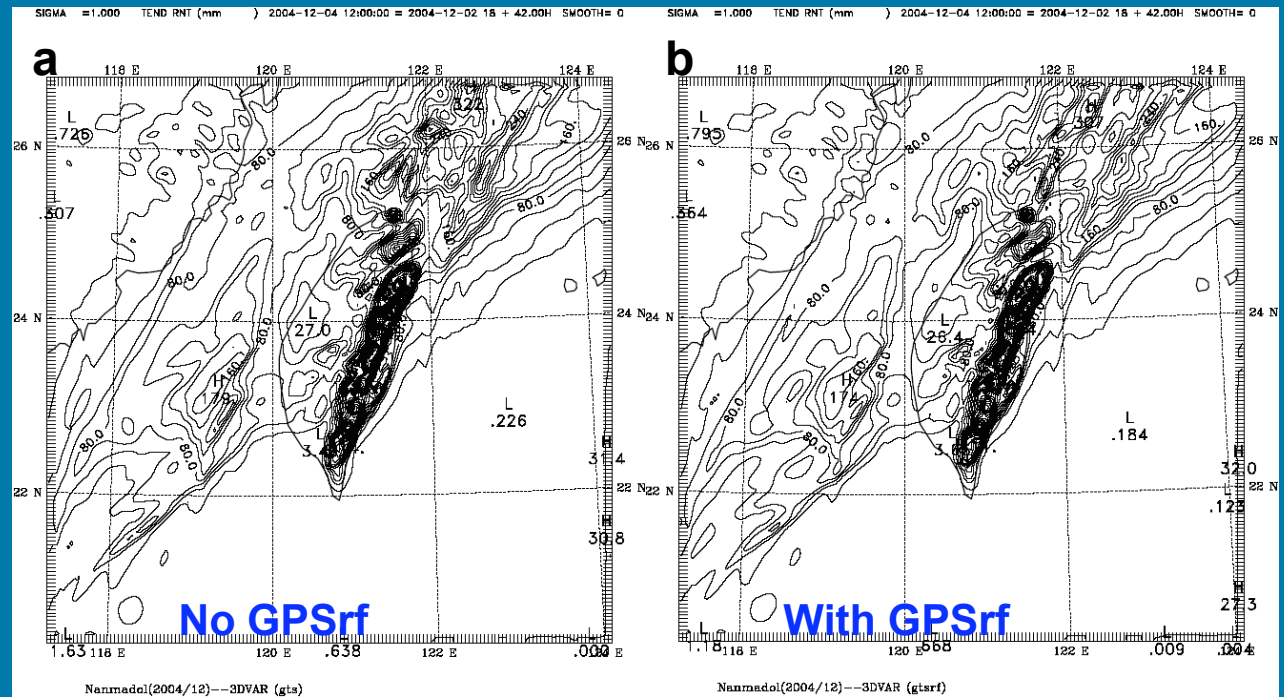


# 2004/12 Nanmadol



1200UTC 3-4 December 2004  
(max value:528.9mm )

## MM5 simulated 24-h accumulated rainfall (mm)



( a ) max value:561mm ( b ) max value:554mm



The model local refractivity (solid line) and Abel-retrieved refractivity (dash line) obtained by ray-tracing near Taipei using the 12-h forecast results at (a) domain 1 (45-km) and (b) domain 2 (15-km) for the Nari case.

→ About 5-10 % differences



$$\frac{d^2 \vec{x}}{d\tau^2} = n \vec{\nabla} n$$
$$(n = 1 + \nu)$$

$$S = \int \nu d\ell$$

Sokolovskiy et al.  
(2004)

is an alternative method  
for excess phase.



**Table.** Average relative refractivity (N) error and relative excess phase (S) error below 90 km calculated by nonlocal straightline operator (NSO) for a profile of Abel refractivity.

INT=1: Linear interpolation; INT=2: Cubic interpolation

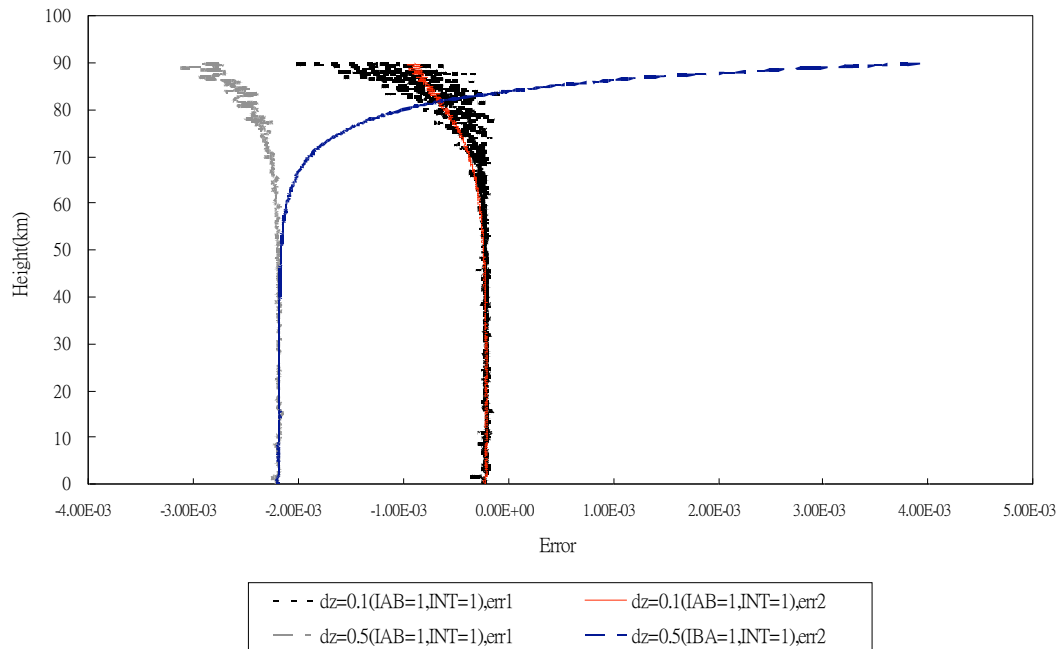
IAB=1: Abel inversion scheme 1; IAB= 2: Abel inversion scheme 2

$\Delta z=0.1$ km	IAB=1 (err1, err2)	IAB=2 (err1, err2)
INT=1	(3.117E-04, 6.371E-04)	(1.005E-04, 2.973E-
INT=2	(3.197E-04, 6.562E-04)	04) (9.826E-05, 2.939E-
$\Delta z=0.2$ km	IAB=1 (err1, err2)	IAB=2 (err1, err2)
INT=1	(6.850E-04, 1.262E-03)	(1.500E-04, 3.360E-
INT=2	(7.171E-04, 1.329E-03)	04) (1.304E-04, 3.017E-
$\Delta z=0.5$ km	IAB=1 (err1, err2)	IAB=2 (err1, err2)
INT=1	(2.268E-03, 4.257E-03)	(7.525E-04, 1.540E-
INT=2	(2.464E-03, 4.623E-03)	03) (5.579E-04, 1.152E-

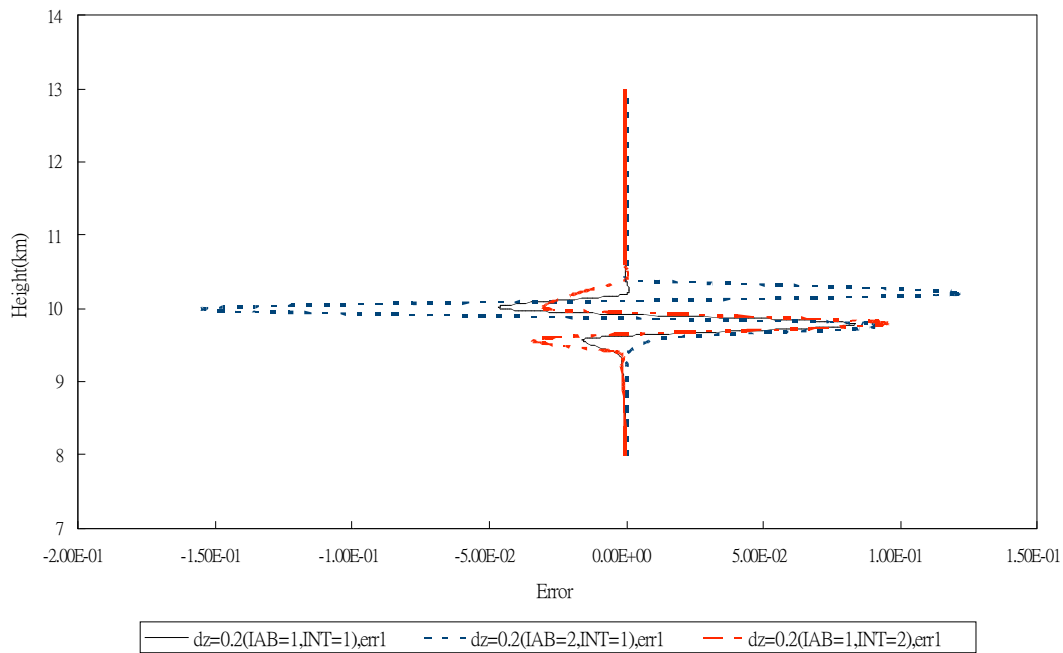
03)

err1= (N\_mapping – N\_Abel)/N\_Abel from Abel inversion of S

err2= (S\_mapping – S\_Abel)/S\_Abel from NSO for N\_mapping



without a spike, (a)  $dz=0.1$  km ( $IAB=1, INI=1$ ), err1, err2; (b)  $dz=0.5$  km ( $IAB=1, INI=1$ ), err1, err2.



with a spike of  $N (=130)$  at  $z=10$  km, (a)  $dz=0.2$  km ( $IAB=1, INI=1$ ), err1 (b)  $dz=0.2$  km ( $IAB=2, INI=1$ ), err1, (c)  $dz=0.2$  km ( $IAB=1, INI=2$ ), err1.

# Comments on Nonlocal Refractivity Operator Assimilation

- Errors increase considerably near upper boundary, due to the less cancellation effects in a shorter path. Thus, local refractivity operator may still be recommended above the tropopause.
- The refractivity mapping normally has even larger errors compared to errors for excess phases, due to the application of Abel inversion in a finite domain with non-negligible local refractivity.
- 
- The straightline forward operator is very fast and the assimilation should be computationally much more efficient than bending angle ray-tracing assimilation.

# Comments on Ray-tracing Assimilation for Regional Modeling

- Convergence rate in cost-function minimization may be quite low, due to the nonlinearity of the ray path and the sensitivities to any tiny variations of the model state.
- The ray may still have considerable bending near the model lateral boundary.
- The ray-tracing assimilation is computationally much more expensive than local-refractivity and nonlocal excess phase assimilations.